

The background image shows a modern city street at night. On the left, there is a long, illuminated pedestrian walkway with a glass railing. In the center, a tall building is illuminated with blue lights, and its reflection is visible in the water below. The sky is dark blue, and there are light trails from cars and streetlights. A large red overlay covers the right side of the image, containing the text.

MOEX

НАЦИОНАЛЬНЫЙ
КЛИРИНГОВЫЙ
ЦЕНТР

Working in the Clearing Terminal

CONTENTS

1.	USER ROLES IN THE CLEARING TERMINAL.....	<u>3-4</u>
2.	MAIN REQUESTS SENT VIA THE CLEARING TERMINAL.....	<u>5</u>
3.	MAIN INCOMING DOCUMENTS.....	<u>6</u>
4.	EXAMPLES OF SENDNG REQUESTS.....	<u>7-50</u>
5.	APPENDIX: ROLES OF CT USERS.....	<u>52-55</u>
6.	CONTACTS.....	<u>56</u>

ROLES DIFFERENTIATE USER ACCESS RIGHTS TO VARIOUS RESOURCES OF THE CLEARING TERMINAL PROGRAM WEB UI

(on the Company's letterhead)

To CCP NCC

REQUEST FOR ACCESS TO THE CLEARING TERMINAL

(full name of the Clearing Member)

Clearing Member Unicode: _____

In accordance with the Clearing Rules of the Central Counterparty National Clearing Centre please grant access to the Clearing Terminal for the employees of the Clearing Member (hereinafter referred to as the User) by assigning the following access rights:

№	Full name of the User*	Name of EDI certificate/Identity document**	E-mail address***	Action in relation to the User	Role	Operations with using MOEX Trade/ MOEX Spectra		Limitations on the Settlement accounts (hereinafter referred to as SA)	
						Software and hardware complex	Terminal Login	Limitation	List of Settlement accounts
				<input type="checkbox"/> Add <input type="checkbox"/> Change <input type="checkbox"/> Delete	<input type="checkbox"/> Cash operations <input type="checkbox"/> Clearing operations <input type="checkbox"/> All operations <input type="checkbox"/> Transfers inside NCC <input type="checkbox"/> Teller	<input type="checkbox"/> Securities Market <input type="checkbox"/> FX Market <input type="checkbox"/> Derivatives Market		<input type="checkbox"/> All SA are available <input type="checkbox"/> Only indicated SA are available <input type="checkbox"/> Delete the following SA from the available ones <input type="checkbox"/> Add the following SA to the available ones	

We hereby confirm that the owner of the Terminal Login is the relevant User.

Double control feature:****

- Double control is not required
- Set / remove double control feature for the following groups of operations:
 - only cash operations
 - all operations

Add or change Users' data:

- The application is provided instead of the previous one (only those Users who are specified in this application will have access).
- or
- The application is provided in addition to the previous ones (all changes will be made in relation to the Users specified in this application).

ROLES DIFFERENTIATE USER ACCESS TO VARIOUS CLEARING TERMINAL WEB UI PROGRAM RESOURCES

USER ROLE	DESCRIPTION
CASH OPERATIONS (MoneyReq)	Requests related to working with cash funds (registration of return payment information, transfer of Collateral, Collateral return, etc.)
CLEARING OPERATIONS (ClearingReq)	Requests that are not directly related to cash transactions (registration of TA, selection of a tariff plan, profile transfer, Early payments, messages in a free format, etc.)
ALL OPERATIONS (AllReq)	All permissions, work with all requests
TELLER (TellerReq)	A role for an employee who has the right to file documents, but does not have the right to sign them

MAIN OUTGOING REQUESTS GENERATED BY THE CLEARING TERMINAL



REQUESTS RELATED TO WORKING WITH CASH FUNDS

- ✓ Request for the registration of the account for collateral return details: [in RUB/in foreign currency \(changing and annulment\)](#)
- ✓ [Request for setting correspondence of the account for collateral return to the SA \(and cancellation\)](#)
- ✓ [Request/Standing instruction for collateral return \(and cancellation\)](#)
- ✓ [Creating a collateral return request template \(cancellation/change\)](#)
- ✓ [Request/standing instruction for depositing \(copying/cancellation\)](#)
- ✓ [Request for collateral transfer \(and cancellation\)](#)
- ✓ [Request for assets profile transfer](#)
- ✓ [Request/standing order on early settlement/early exit from trading](#)
- ✓ [Request for additional cash flow statement \(CCX99\) under the Settlement Account](#)



ACCESSIBLE BY ROLES:

- TellerReq (with no signature rights)
- MoneyReq
- AllReq



REQUESTS NOT DIRECTLY RELATED TO TRANSACTIONS OF CASH FUNDS

- ✓ [Request for assigning unified pool feature to the Settlement Account](#)
- ✓ [Request for changing scope of application of a unified pool Settlement Account](#)
- ✓ [2nd level Settlement Account open/close request](#)
- ✓ [3rd level Settlement Account open/close request](#)
- ✓ Request to open a deposit Trading Account
- ✓ Free format text message
- ✓ Request to choose tariff plan
- ✓ [Request for limiting the start time of concluding trades with the Clearing Centre under the Settlement Account](#)
- ✓ Choice of the priority TA for fulfilling Stress Collateral requirements (and cancellation)



ACCESSIBLE BY ROLES:

- TellerReq (with no signature rights)
- ClearingReq
- AllReq

CLEARING TERMINAL: MAIN INCOMING DOCUMENTS



- ✓ **Advice** is a notification of an operation in the SA Collateral Account
- ✓ **Statement** – a statement of the Collateral Account
- ✓ **Interest on deposits** – report on accrued and paid interest on deposit agreements
- ✓ **Stress Collateral** – notification of the minimum amount of Stress Collateral



Accessible by users with roles:

- TellerReq
- MoneyReq
- AllReq

- ✓ **Receipt** is the result of processing the request in the NCC Accounting system



Accessible by users with roles:

- Depending on the permission for access of the original receipt

REQUEST FOR THE REGISTRATION OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS IN RUB (1/2)

1. In main menu choose **Reference**
2. Then open the **Requisites for Collateral return** tab
3. Click **Add details**

The screenshot displays the MOEX system interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The 'REFERENCE' menu is highlighted with a red box and labeled '1.'. Below it, the 'Requisites for Collateral return' tab is selected and highlighted with a red box, labeled '2.'. The main content area shows the 'REQUISITES FOR COLLATERAL RETURN' page. A red box highlights the 'Add details' button in the top right corner, labeled '3.'. Below the button is a table with columns: ID, STATUS, NAME, CURRENCY, PAYEE'S BANK, ACCOUNT, and RECIPIENT. The first row contains the following data:

ID	STATUS	NAME	CURRENCY	PAYEE'S BANK	ACCOUNT	RECIPIENT
23397		Клиентские	RUB	044525505	30411810600080000033	Печ Инвест 2020

Below the table, a detailed view of the account information is shown:

Russian BIC of the Bank	044525505
Correspondent account	30105810345250000505
Personal account	30411810600080000033
TIN	7711056412
Receiver	Печ Инвест 2020
Purpose	возврат клиентских ДС

REQUEST FOR THE REGISTRATION OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS IN RUB (2/2)

1. Choose **Currency**
2. Create **Name**
3. Fill in **details of the Receiving bank and Receiver**
4. Client is an optional field
5. Purpose of payment is an optional field
6. Click **Sign and send**

Attention: details are registered **without connection to the Settlement Account**. Connect the **to the Settlement Account in order to return Collateral**.

In order to do so please use the *Request for setting correspondence of the Account for Collateral return to the Settlement Account*.

The screenshot shows a web interface for requesting collateral return account registration. The navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The breadcrumb trail is 'Main > Requisites for Collateral return > Request for the registration of the account for collateral return details'. The main heading is 'REQUEST FOR THE REGISTRATION OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS'. The form contains the following fields and controls:

- Currency:** A dropdown menu showing 'RUB Russian rubles' with a red '1.' next to it.
- Name:** A text input field with a red '2.' next to it.
- Receiving bank:** A section with two sub-fields: 'Russian BIC' (with a search icon) and 'Name' (with a red '3.' next to it).
- Receiver:** A section with four sub-fields: 'Name', 'TIN', 'KPP', and 'Personal account'.
- Client:** A section with two radio buttons, 'Yes' and 'No', with a red '4.' next to it.
- Purpose of payment:** A text input field with a red '5.' next to it.
- Buttons:** At the bottom right, there are two buttons: 'Refusal of operation' and 'Sign and send'. The 'Sign and send' button is highlighted with a red box and a red '6.' next to it.

REQUEST FOR THE REGISTRATION OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS IN FOREIGN CURRENCY (1/2)

1. Choose **Currency**
2. Create **Name**
3. Fill in the **Beneficiary** block (field 58)
4. If necessary, select Yes and fill in the Beneficiary Bank block (field 57)
5. If necessary, select Yes and fill in the Intermediary bank block
6. If necessary, select Yes and fill in the Beneficiary Client block
7. Purpose of payment – optional field
8. Click **Sign and send**

The screenshot shows a web interface with a top navigation bar containing 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Below this is a breadcrumb trail: 'Requisites for Collateral return > Firm ID > Sub-accounts clusters'. The main heading is 'REQUEST FOR THE REGISTRATION OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS'. The form includes the following fields and controls:

- Currency:** A dropdown menu showing 'EUR Euro' with a red '1.' next to it.
- Name:** A text input field with a red '2.' next to it.
- 58 Beneficiary:** A section containing:
 - BIC:** A text input field with a search icon and a red '3.' next to it.
 - Name/address:** A text input field.
 - Account:** A text input field.
- 57 Beneficiary bank:** A row with 'Yes' and 'No' radio buttons and a red '4.' next to it.
- 56 Intermediary bank:** A row with 'Yes' and 'No' radio buttons and a red '5.' next to it.
- Beneficiary Client:** A row with 'Yes' and 'No' radio buttons and a red '6.' next to it.
- 7.:** A section for 'Addition to the standard purpose of payment' with two text input fields and a red '7.' next to it.

At the bottom right, there are two buttons: 'Refusal of operation' and 'Sign and send', with the latter highlighted by a red box and a red '8.' next to it.

REQUEST FOR THE REGISTRATION OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS IN FOREIGN CURRENCY (2/2)

To view the registered details:

1. In the main menu select **Reference**
2. Then **Requisites for Collateral return**

The screenshot shows the MOEX system interface. At the top, there is a navigation bar with tabs: TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. The REFERENCE tab is highlighted with a red box and labeled '1.'. Below the navigation bar, there is a sub-menu with options: Requisites for Collateral return, Firm ID, and Sub-accounts clusters. The 'Requisites for Collateral return' option is highlighted with a red box and labeled '2.'. Below this, the main content area is titled 'REQUISITES FOR COLLATERAL RETURN'. It features a '+ Processing requests' button, a search bar with 'Quick find' and a magnifying glass icon, and buttons for 'Refresh', 'Export', and 'Add details'. Below the search bar is a table with columns: ID, STATUS, NAME, CURRENCY, PAYEE'S BANK, ACCOUNT, and RECIPIENT. The table contains one row with ID '26438', STATUS 'OK', NAME 'RUB реквизиты для вывода ДС', CURRENCY 'RUB', and a detailed description of the account and its purpose. The description includes: Russian BIC of the Bank, Correspondent account, Personal account, ITN, Receiver, and Purpose. The purpose is 'Возврат денежных средств Вывод дс со сч. инд. кл. обеспеч. в соотв. с Прав. кл. ПК %SETTLE_CODE%, НДС не облагается.' Below the table, it says 'Shown 1 - 1 of 1'.

Attention: details are registered **without connection to the Settlement Account**. Connect the details to the Settlement Account in order to return the Collateral. In order to do so please use the *Request for setting correspondence of the Account for Collateral return to the Settlement Account*.

REQUEST FOR THE REGISTRATION OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS IN FOREIGN CURRENCY. OPTIONS A AND D

Options A and D are ways to identify the country in the request field

Option A: country identification by code (BIC)

An example of filling in the field 58 using option A

The screenshot shows the 'REQUEST FOR THE REGISTRATION OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS' form. The 'Currency' is set to 'KZT Kazakhstan Tenge'. The '58 Beneficiary' section has two columns: 'BIC' and 'Name/address'. The 'BIC' field contains 'BIC' with a red note 'Selected from the drop-down list' and a search icon. The 'Name/address' field contains 'NAME pulled up automatically'. Below this, the 'Account' field is empty, and the 'ADDRESS pulled up automatically' field is also empty. At the bottom, there are three rows of checkboxes: '57 Beneficiary bank', '56 Intermediary bank', and 'Beneficiary Client', each with 'Yes' and 'No' buttons.

Option D: country identification by name and address

An example of filling in the field 58 using option D

The screenshot shows the same form as Option A. The '58 Beneficiary' section has two columns: 'BIC' and 'Name/address'. The 'BIC' field is empty with a search icon. The 'Name/address' field contains 'Name' and 'BIN...' with red ovals around them. Below this, the 'Account' field contains 'I...' with a red oval around it. The 'ADDRESS pulled up automatically' field contains 'example: Russia, Moscow' with a red oval around it. At the bottom, there are three rows of checkboxes: '57 Beneficiary bank', '56 Intermediary bank', and 'Beneficiary Client', each with 'Yes' and 'No' buttons.

REQUEST FOR CHANGING DETAILS OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS

1. In main menu choose **Reference**
2. Then choose **Requisites for Collateral return**
3. Click **Add details** or **the pencil icon**
4. In the opened form **Request for changing details of the account for collateral return** fill in all the fields
5. Click **Sign and send**

The screenshot shows the MOEX system interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The 'REFERENCE' menu is highlighted with a red box and labeled '1.'. Below it, the 'Main' menu has 'Requisites for Collateral return' highlighted with a red box and labeled '2.'. The main content area shows a table of processing requests. The first row is highlighted, and the 'Add details' button is highlighted with a red box and labeled '3.'. Below the table, the form 'REQUEST FOR CHANGING DETAILS OF THE ACCOUNT FOR COLLATERAL RETURN' is shown. The form fields are highlighted with a red box and labeled '4.'. The 'Sign and send' button is highlighted with a red box and labeled '5.'. The form fields include: Currency (RUB Russian rubles), ID (26438), Name (RUB реквизиты для вывода дс), Receiving bank (Russian BIC: 044525505, Name: НКО АО НРД, Correspondent account: 30411810600080000033), Receiver (Name, ITN, KPP, Personal account), Client (Yes/No), and Addition to the standard purpose of payment (Вывод дс).

Attention: the request can be used in order to give the details a more understandable name.

REQUEST FOR THE REGISTRATION OF THE ACCOUNT FOR COLLATERAL RETURN DETAILS: FEATURES OF THE STATUS MODEL

- ✓ Status 'pending' - the request has been registered by the NCC, is under consideration by the relevant departments
- ✓ Status 'inactive' (the filter 'Show inactive' is available - the default value is 'None')
- ✓ In case of refusal to approve the details by the NCC Accounting system, the receipt for the request will contain the error Account = 226 and the justification for the refusal in the 'Diagnostic message' field

REQUEST FOR SETTING CORRESPONDENCE OF THE ACCOUNT FOR COLLATERAL RETURN TO THE SETTLEMENT ACCOUNT (1/2)

1. In main menu choose **Settlement Accounts**
2. Then choose the **Settlement Account**, to which the details of the Account for Collateral return will be connected
3. In the Settlement Account card choose **Account details**
4. Click **Add correspondence**

The screenshot shows the MOEX Settlement Accounts interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The 'SETTLEMENT ACCOUNTS' tab is highlighted with a red box and labeled '1.'. Below the navigation bar, the breadcrumb trail is 'Main > Settlement Accounts > Settlement Account 75904 card', with the last part highlighted by a red box and labeled '2.'. The main content area is titled 'SETTLEMENT ACCOUNT 75904' and features a '+ Processing requests' button. Below this, there are three tabs: 'General information', 'Permanent collateral returns', and 'Account details', with the last one highlighted by a red box and labeled '3.'. At the bottom of the interface, there is a search bar with a '+ Search' button and a 'Quick find' input field. To the right of the search bar are buttons for 'Refresh', a file icon, and a PDF icon. A red box highlights the 'Add correspondence' button, labeled '4.'. Below the buttons is a table with columns: ID, STATUS, NAME, CURRENCY, PAYEE'S BANK, ACCOUNT, and RECIPIENT. The table currently displays 'No data available'.

REQUEST FOR SETTING CORRESPONDENCE OF THE ACCOUNT FOR COLLATERAL RETURN TO THE SETTLEMENT ACCOUNT (2/2)

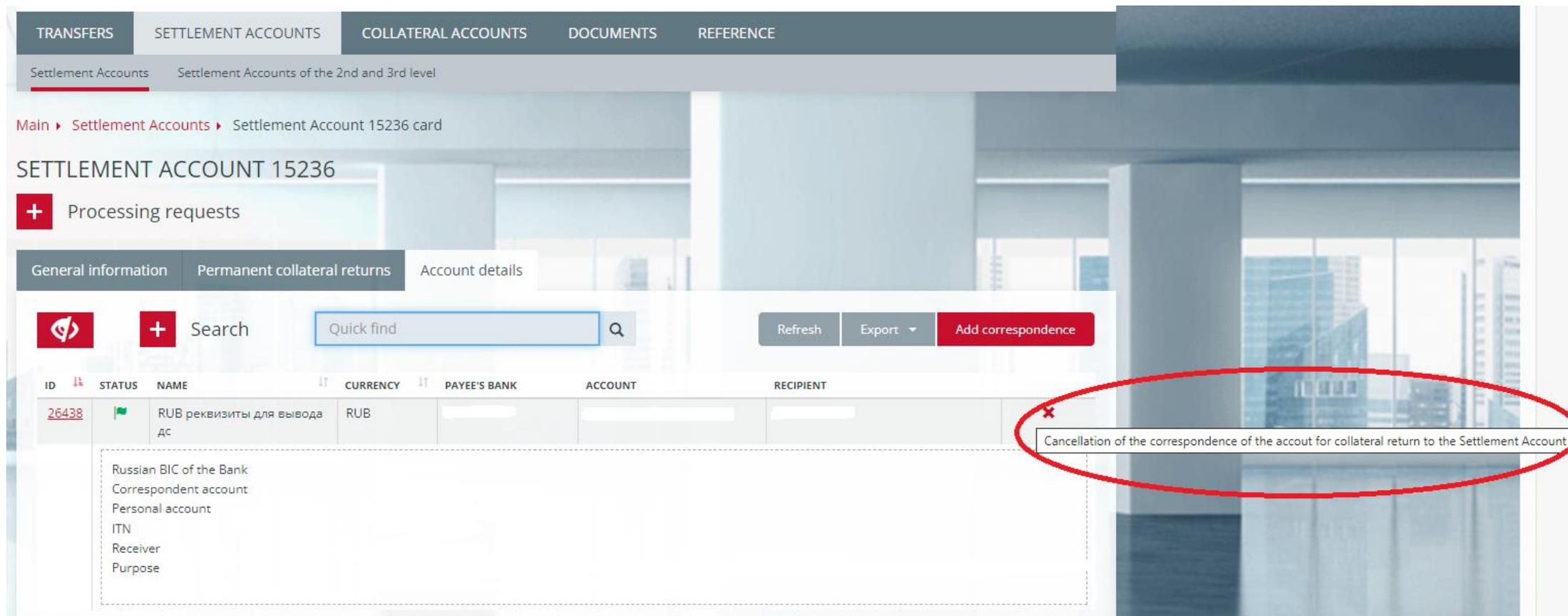
1. Choose the **Currency**
2. Then choose an **Account for the Collateral return** from the list
3. Click **Sign and send**

Attention: in the field 'Account for collateral return' will be available only those details, which were registered earlier and correspond to the chosen currency.
Field 'Account name for the collateral return' is filled in automatically.

The screenshot shows a web application interface with a navigation bar at the top containing tabs: TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below the navigation bar, a breadcrumb trail reads: Main > Settlement Accounts > Settlement Account 75904 card > Request for setting correspondence of the account for collateral return to the Settlement Account. The main heading is "SETTING CORRESPONDENCE OF THE ACCOUNT FOR COLLATERAL RETURN TO THE SETTLEMENT ACCOUNT". The form contains three main fields: "Settlement account" with the value "75904 Clients/Ordinary", "Currency" with a dropdown menu showing "Choose the currency" (marked with a red "1."), and "Account for the collateral return" (marked with a red "2.") which is a searchable list. Below the list is a field for "Account name for the collateral return". At the bottom right, there are two buttons: "Refusal of operation" and "Sign and send" (marked with a red "3.").

CANCELLATION OF THE CORRESPONDENCE OF THE ACCOUNT FOR COLLATERAL RETURN TO THE SETTLEMENT ACCOUNT

The operation of cancelling the correspondence of the Account for collateral return to the Settlement Account is performed by Clicking the  button in the main line of the requisites or by clicking the Remove correspondence button in the 'Account details' card, which opens when users open the SA card. In the form 'Cancellation of the correspondence of the account for collateral return to the Settlement Account' by clicking the Sign and Send button, the operation is confirmed.



The screenshot displays the MOEX National Clearing Centre interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The breadcrumb trail shows 'Main > Settlement Accounts > Settlement Account 15236 card'. The main heading is 'SETTLEMENT ACCOUNT 15236' with a '+ Processing requests' button. Below this are tabs for 'General information', 'Permanent collateral returns', and 'Account details'. A search bar with a 'Quick find' input and a magnifying glass icon is present, along with 'Refresh', 'Export', and 'Add correspondence' buttons. A table lists account requisites with columns for ID, STATUS, NAME, CURRENCY, PAYEE'S BANK, ACCOUNT, and RECIPIENT. The first row has ID '26438' and NAME 'RUB реквизиты для вывода ДС'. A red circle highlights a red 'X' icon in the RECIPIENT column, which triggers a tooltip with the text 'Cancellation of the correspondence of the account for collateral return to the Settlement Account'. Below the table, a dashed box contains a form with fields for 'Russian BIC of the Bank', 'Correspondent account', 'Personal account', 'ITN', 'Receiver', and 'Purpose'.

ID	STATUS	NAME	CURRENCY	PAYEE'S BANK	ACCOUNT	RECIPIENT
26438		RUB реквизиты для вывода ДС	RUB			

REQUEST FOR COLLATERAL RETURN

1. In main menu choose **Transfers**
2. Then choose **Collateral return**
3. Click **Add request**
4. In the form of the **Request for Collateral return** fill in all fields
5. Click **Sign and send**

Attention: in the drop-down list, in the field Account for Collateral return will be available only those details, which are assigned to the Settlement Account, chosen in the Settlement Account tab.
When making returns on the derivatives market clearing registers **must** be defined.

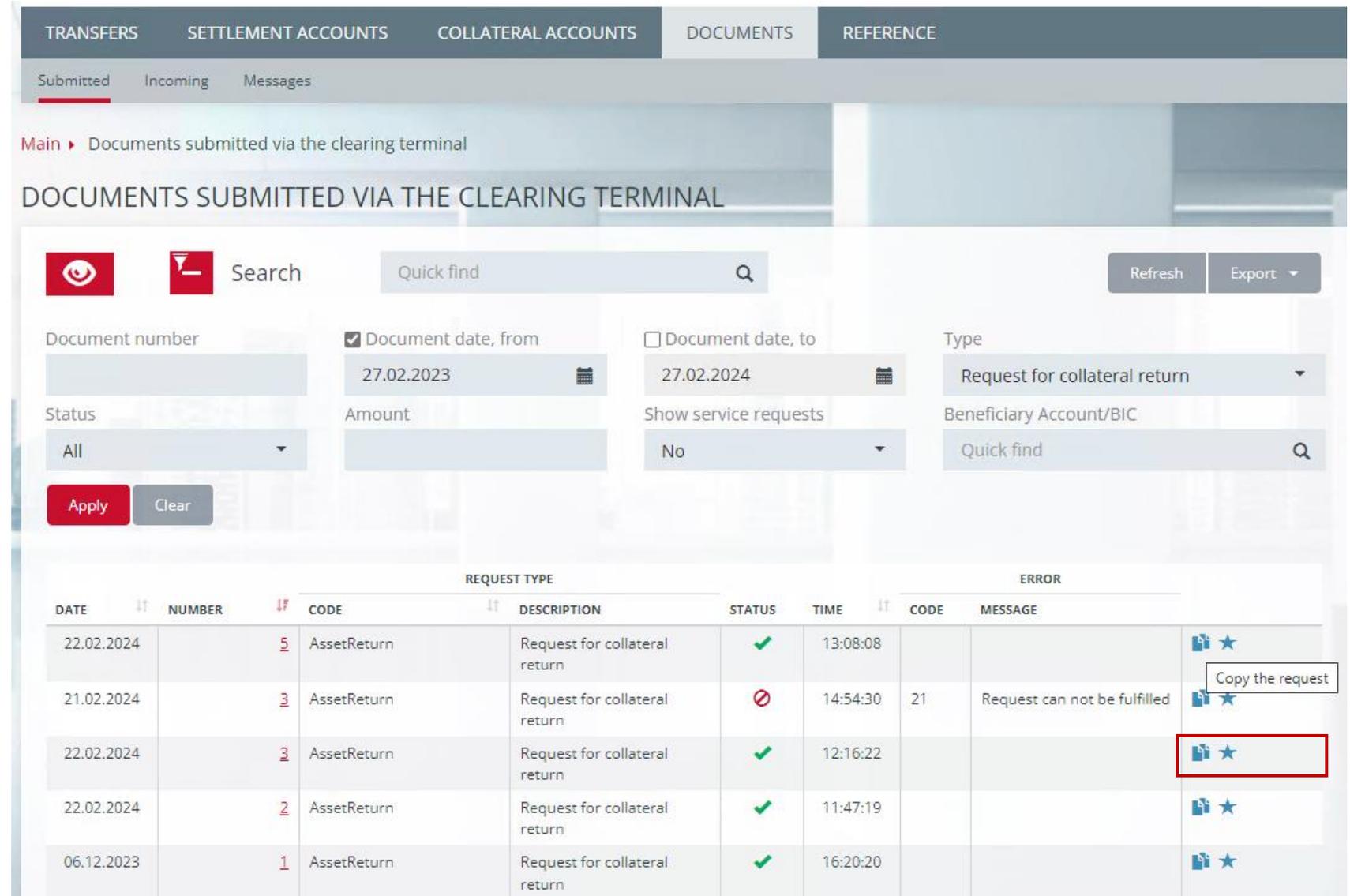
The screenshot displays the MOEX system interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The 'TRANSFERS' menu is expanded to show 'Collateral return', 'Collateral transfer', and 'Profile transfer'. The 'Collateral return' option is selected, leading to the 'REQUESTS FOR COLLATERAL RETURN' page. This page features a search bar, a 'Quick find' input, and buttons for 'Refresh', 'Add request', and 'Add request'. The 'Add request' button is highlighted with a red box and the number 3. Below this is the 'REQUEST FOR COLLATERAL RETURN' form, which includes fields for 'Market' (Choose the market), 'Settlement account' (Choose the SA), 'Currency' (Choose the currency), 'Execution method' (sum), 'Account for the collateral return', and 'Amount' (0). The 'Account for the collateral return' field is highlighted with a red box and the number 4. At the bottom right of the form, there are buttons for 'Refusal of operation' and 'Sign and send', with the 'Sign and send' button highlighted by a red box and the number 5.

CANCELLING AND COPYING THE REQUEST FOR COLLATERAL RETURN

1. In the Request for Collateral Return window:

1.1  - copying the Request

1.2  - cancelling the Request. Available when the Status is 'Accepted'



TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Submitted Incoming Messages

Main Documents submitted via the clearing terminal

DOCUMENTS SUBMITTED VIA THE CLEARING TERMINAL

Search Quick find Refresh Export

Document number Document date, from Document date, to Type

27.02.2023 27.02.2024 Request for collateral return

Status Amount Show service requests Beneficiary Account/BIC

All No Quick find

Apply Clear

REQUEST TYPE							ERROR	
DATE	NUMBER	IF	CODE	DESCRIPTION	STATUS	TIME	CODE	MESSAGE
22.02.2024		5	AssetReturn	Request for collateral return	✓	13:08:08		
21.02.2024		3	AssetReturn	Request for collateral return	✗	14:54:30	21	Request can not be fulfilled
22.02.2024		3	AssetReturn	Request for collateral return	✓	12:16:22		
22.02.2024		2	AssetReturn	Request for collateral return	✓	11:47:19		
06.12.2023		1	AssetReturn	Request for collateral return	✓	16:20:20		

CREATING A COLLATERAL RETURN REQUEST TEMPLATE (1/2)

1. Choose **Transfers**
2. Then choose **Templates**
3. Click **Create a template**

1. TRANSFERS

2. Templates

3. Create a template

Main ▸ Collateral return request templates

COLLATERAL RETURN REQUEST TEMPLATES

+ Search Quick find Refresh Create a template

ID	TEMPLATE NAME	MODIFIED	SA	MARKET	CURRENCY	AMOUNT	EXECUTION METHOD
181	Запрос на возврат 1 млн рублей	09.01.2024 12:11:49	15236	Currency	RUB	100.00	sum
101	Возврат_рубли	06.12.2023 16:20:54	15236	Currency	RUB	100.00	sum

Shown 1 – 2 of 2

The following operations are also available:

- **Changing the template** of the collateral return request – the context menu item of the template entry. Change template attributes by creating a template change request;
- **Deleting a Collateral Return Request Template** is an item in the context menu of the record. Adding a request to delete a template;
- **Request for the return of collateral** is an item in the context menu of the record. Create a collateral return request on the template update.

CREATING A COLLATERAL RETURN REQUEST TEMPLATE (2/2)

1. Specify a **1st level Settlement Account**
2. Specify the **Market**
3. Click **Sign and send**

The screenshot shows the 'COLLATERAL ACCOUNTS' tab in the MOEX system. The breadcrumb trail is 'Main > Documents submitted via the clearing terminal > Collateral return request template'. The main heading is 'COLLATERAL RETURN REQUEST TEMPLATE'. The form contains the following fields:

- Template: [Empty text input]
- Market: Currency [Dropdown menu]
- Settlement account: 15236 Proprietary/Ordinary [Text input with search icon]
- Currency: RUB Russian rubles [Dropdown menu]
- Execution method: sum [Dropdown menu]
- Account for the collateral return: 26438 / [Text input with search icon]
- Russian BIC of the Bank: [Text input]
- Bank: [Text input]
- Personal account: [Text input]
- Client, Personal account: [Text input]
- Amount: 100 [Text input]

At the bottom right, there are two buttons: 'Refusal of operation' and 'Sign and send'. The 'Sign and send' button is highlighted with a red border.

STANDING INSTRUCTION TO RETURN COLLATERAL (1/2)

1. Choose the required Settlement Account in the tab **Settlement Accounts** in the main menu
2. Choose the tab **Permanent collateral returns**
3. Click **Add request**
4. Choose **Standing Instruction to return collateral**

The screenshot displays the MOEX Settlement Accounts interface. The top navigation bar includes tabs for TRANSFERS, SETTLEMENT ACCOUNTS (highlighted with a red box and labeled '1.'), COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below the navigation bar, the breadcrumb trail reads 'Main > Settlement Accounts > Settlement Account 12613 card'. The main heading is 'SETTLEMENT ACCOUNT 12613'. A red plus icon and the text 'Processing requests' are visible, with a red '2.' next to it. Below this, there are three tabs: 'General information', 'Permanent collateral returns' (highlighted with a red box), and 'Account details'. In the bottom right corner, there is a 'Refresh' button and an 'Add request' button (highlighted with a red box and labeled '3.'). A dropdown menu is open from the 'Add request' button, showing three options: 'STANDING INSTRUCTION TO RETURN COLLATERAL' (highlighted with a red box and labeled '4.'), 'STANDING INSTRUCTION TO EXECUTE DEPOSITING', and 'REQUEST FOR DEPOSITING'. The main content area shows a table with columns for CURRENCY, EXECUTION METHOD, and STANDING INSTRUCTION TO EXECUTE DEPOSITING, with the text 'No data available' displayed below the table.

STANDING INSTRUCTION TO RETURN COLLATERAL (2/2)

5. In the instruction form fill in all necessary fields
6. Click ***Sign and send***
7. Set instruction will be shown in the tab ***Permanent collateral returns*** of the Settlement Account card

REQUEST FOR THE STANDING INSTRUCTION FOR COLLATERAL RETURN

Settlement account 12613 Proprietary/Ordinary

Market Securities CR

Currency Choose the currency Starting date 13.10.2020 Execution method Choose the value

Account for the collateral return

Refusal of operation **Sign and send**

5.

6.

General information **Permanent collateral returns** Account details

Refresh Add request

CURRENCY	EXECUTION METHOD	STANDING INSTRUCTION TO EXECUTE DEPOSITING	REQUEST FOR DEPOSITING
RUB Russian rubles	net claim under trades ✖		

7.

Attention: when making returns on the derivatives market clearing registers must be indicated (the filed 'CR').

REQUEST FOR THE CANCELLATION OF THE STANDING INSTRUCTION FOR COLLATERAL RETURN

1. Click the button **✖** in the Permanent Collateral Returns tab or Click the Delete Request button in the request card
2. Click **Sign and Send**

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Settlement Accounts Settlement Accounts of the 2nd and 3rd level

Main ▶ Settlement Accounts ▶ Settlement Account 15234 card

SETTLEMENT ACCOUNT 15234

+ Processing requests

General information Permanent collateral returns Account details

Refresh Add request ▾

CURRENCY	EXECUTION METHOD	STANDING INSTRUCTION TO EXECUTE DEPOSITING	REQUEST FOR DEPOSITING
RUB Russian rubles	net claim under trades ✖		

REQUEST FOR THE CANCELLATION OF THE STANDING INSTRUCTION FOR COLLATERAL RETURN

Settlement account 15234 Proprietary/Ordinary

Market Securities Currency RUB Russian rubles Execution method net claim under trades

Validity start date 14.02.2024 Last execution date

Account for the collateral return 26438 / / Russian BIC 044525505

Russian BIC of the Bank 044525505 Personal account 40702810838110016811 Client, Personal account

Refusal of operation **Sign and send**

REQUEST FOR DEPOSITING

1. In the SA card click **Permanent collateral returns**
2. Click **Add Request**
3. Select **Request for depositing** from the list

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Settlement Accounts Settlement Accounts of the 2nd and 3rd level

Main ▶ Settlement Accounts ▶ Settlement Account 15234 card

SETTLEMENT ACCOUNT 15234

+ Processing requests

General information Permanent collateral returns **1.** Account details **2.**

Refresh Add request

CURRENCY	EXECUTION METHOD	STANDING INSTRUCTION TO EXECUTE DEPOSITING	REQUEST FOR DEPOSITING
RUB Russian rubles	net claim under trades ✖		

1. Specify the **Settlement Account**
2. Specify the **Market**
3. Specify the **Currency**
4. Enter a positive amount
5. Click **Sign and send**

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Settlement Accounts Settlement Accounts of the 2nd and 3rd level

Main ▶ Settlement Accounts ▶ Settlement Account 15234 card ▶ Request for depositing

REQUEST FOR DEPOSITING

Settlement account 15234 Proprietary/Ordinary **1.**

Market Securities **2.**

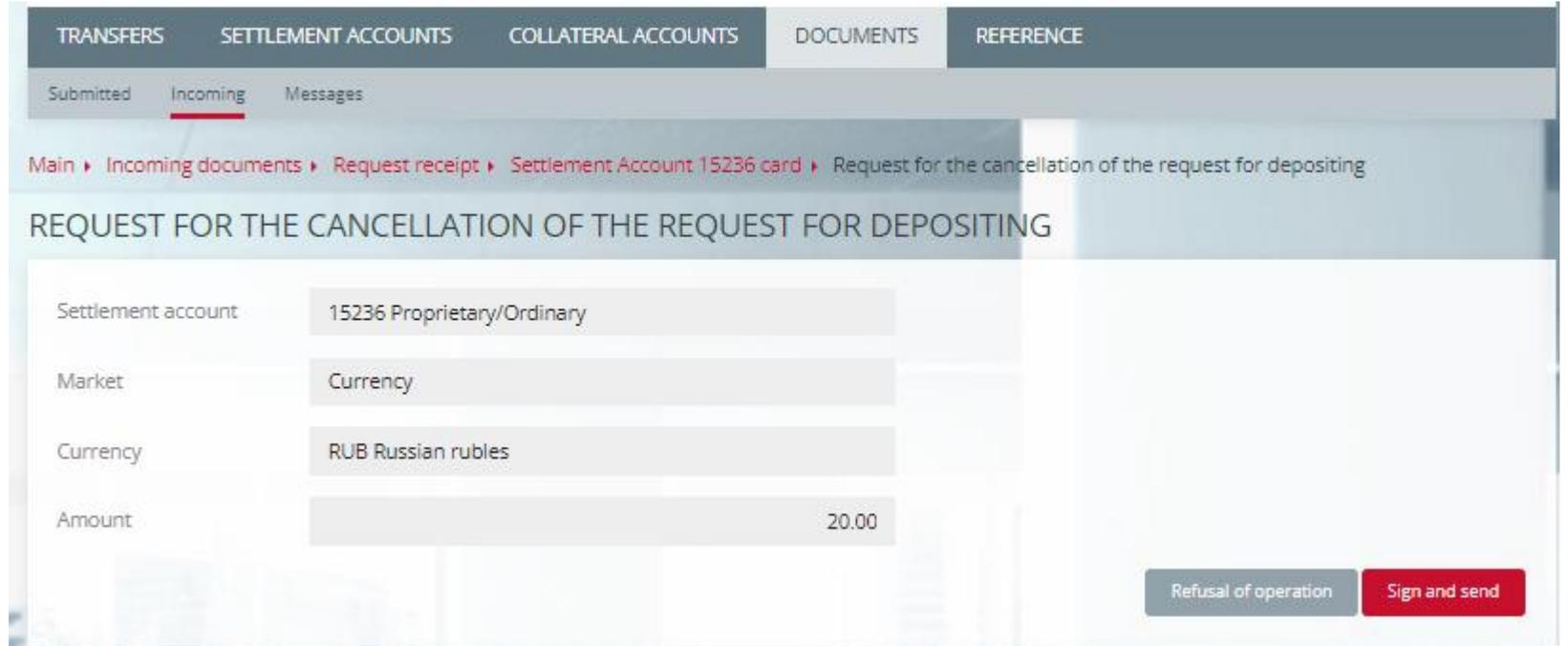
Currency RUB Russian rubles **3.**

Amount 0.00 **4.**

Refusal of operation **5.** Sign and send

REQUEST FOR THE CANCELLATION OF THE REQUEST FOR DEPOSITING

1. Click the  button in the Deposit Request box to the right of the Deposit Request card link in the Standing Collateral Returns tab or Click the Delete Request button on the Deposit Request card
2. Click ***Sign and send***



The screenshot displays a web interface with a navigation bar at the top containing tabs: TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below the navigation bar, there are sub-tabs: Submitted, Incoming (highlighted with a red underline), and Messages. A breadcrumb trail reads: Main > Incoming documents > Request receipt > Settlement Account 15236 card > Request for the cancellation of the request for depositing. The main heading is 'REQUEST FOR THE CANCELLATION OF THE REQUEST FOR DEPOSITING'. The form contains the following fields:

Settlement account	15236 Proprietary/Ordinary
Market	Currency
Currency	RUB Russian rubles
Amount	20.00

At the bottom right of the form, there are two buttons: 'Refusal of operation' (grey) and 'Sign and send' (red).

REQUEST FOR THE STANDING INSTRUCTION TO EXECUTE DEPOSITING

1. In the SA card click **Permanent Collateral Returns**
2. Click the **Add Request** button
3. Select **Standing Instruction to Execute Depositing**

The screenshot shows the MOEX Settlement Accounts interface. At the top, there are tabs for TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below these, there are sub-tabs for Settlement Accounts and Settlement Accounts of the 2nd and 3rd level. The main content area shows the path: Main > Settlement Accounts > Settlement Account 15234 card. The title is SETTLEMENT ACCOUNT 15234. There is a red '+' button and the text 'Processing requests'. Below this, there are tabs for General information, Permanent collateral returns (marked with a red '1'), and Account details. At the bottom right, there is a 'Refresh' button and a red 'Add request' button (marked with a red '2'). Below the buttons is a table with the following data:

CURRENCY	EXECUTION METHOD	STANDING INSTRUCTION TO EXECUTE DEPOSITING	REQUEST FOR DEPOSITING
RUB Russian rubles	net claim under trades ✖		

1. Specify the **Settlement Account**
2. Specify the **Market**
3. Specify the **Currency**
4. Enter a positive **Amount**
5. Click **Sign and send**

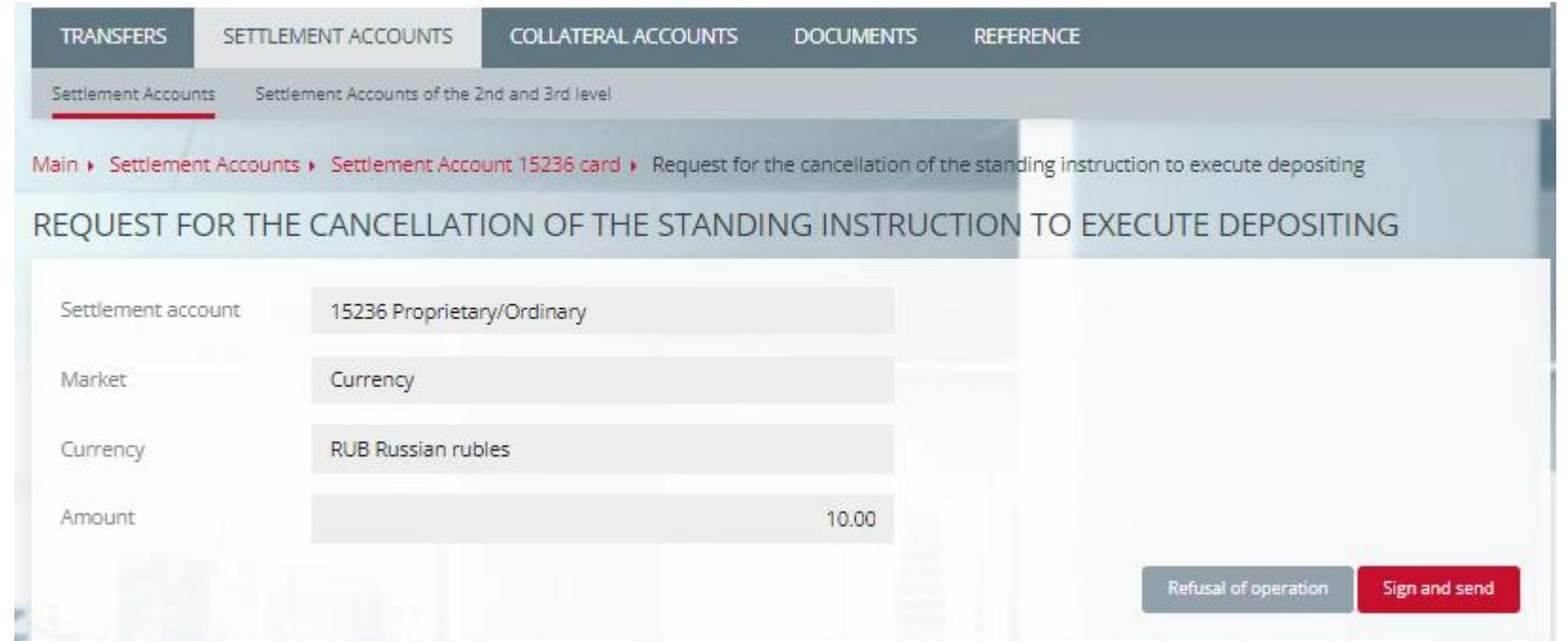
The screenshot shows the MOEX interface for 'REQUEST FOR THE STANDING INSTRUCTION TO EXECUTE DEPOSITING'. The path is: Main > Settlement Accounts > Settlement Account 15234 card > Request for the standing instruction to execute depositing. The title is REQUEST FOR THE STANDING INSTRUCTION TO EXECUTE DEPOSITING. The form has the following fields:

- Settlement account: 15234 Proprietary/Ordinary (marked with a red '1')
- Market: Securities (marked with a red '2')
- Currency: RUB Russian rubles (marked with a red '3')
- Amount: 110.00 (marked with a red '4')

At the bottom right, there are two buttons: 'Refusal of operation' and 'Sign and send' (marked with a red '5').

REQUEST FOR THE CANCELLATION OF THE STANDING INSTRUCTION TO EXECUTE DEPOSITING

1. Click the  button in the Standing Deposit Order box to the right of the link to the Standing Deposit Instruction Request Card on the Standing Collateral Returns tab or Click the Delete Request button in the Standing Deposit Instruction Request Card
2. Click ***Sign and send***



The screenshot displays the MOEX Settlement Accounts interface. The top navigation bar includes tabs for TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. The current page is titled "Request for the cancellation of the standing instruction to execute depositing". The main content area shows a form with the following fields:

Settlement account	15236 Proprietary/Ordinary
Market	Currency
Currency	RUB Russian rubles
Amount	10.00

At the bottom right of the form, there are two buttons: "Refusal of operation" and "Sign and send".

REQUEST FOR COLLATERAL TRANSFER

1. In main menu choose
Transfers

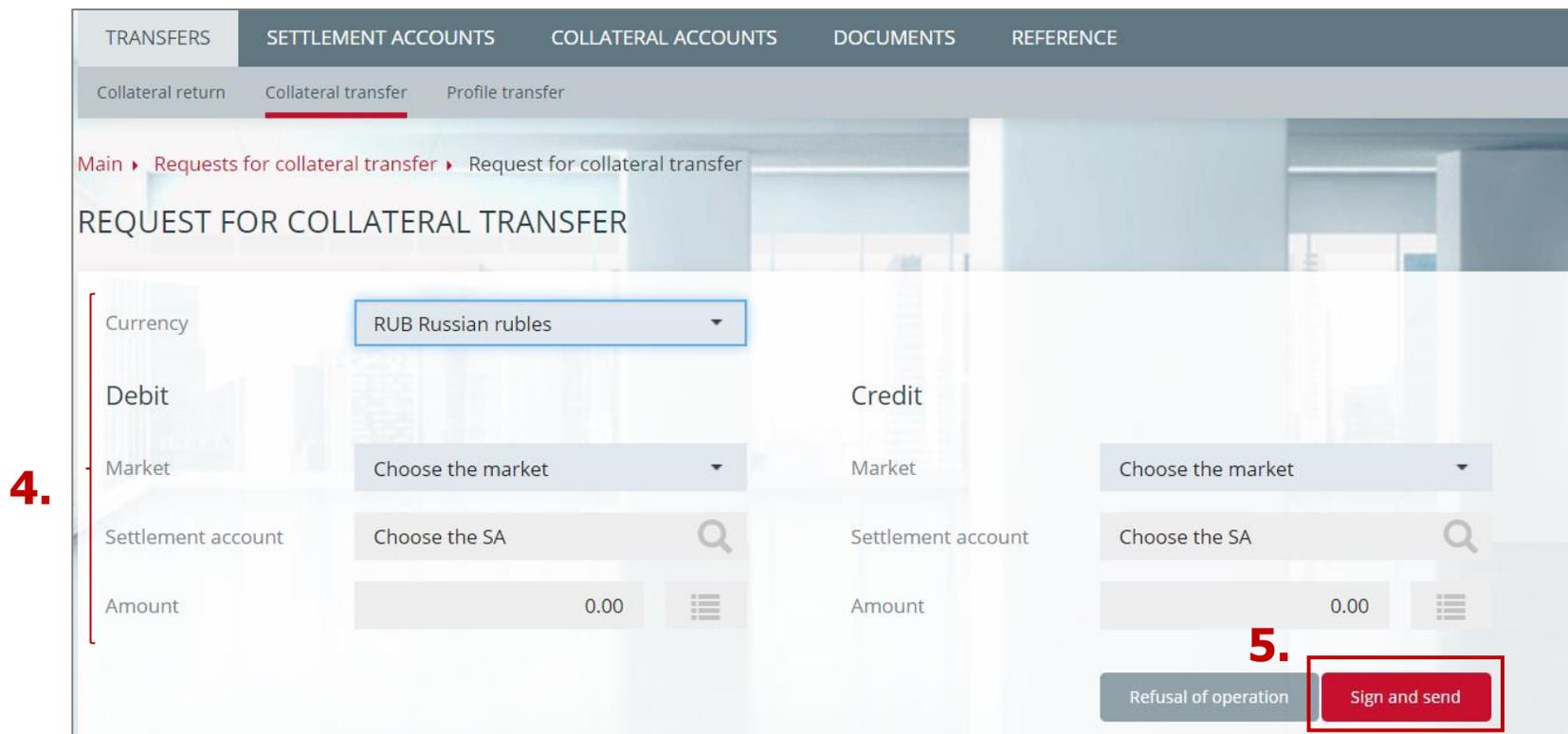
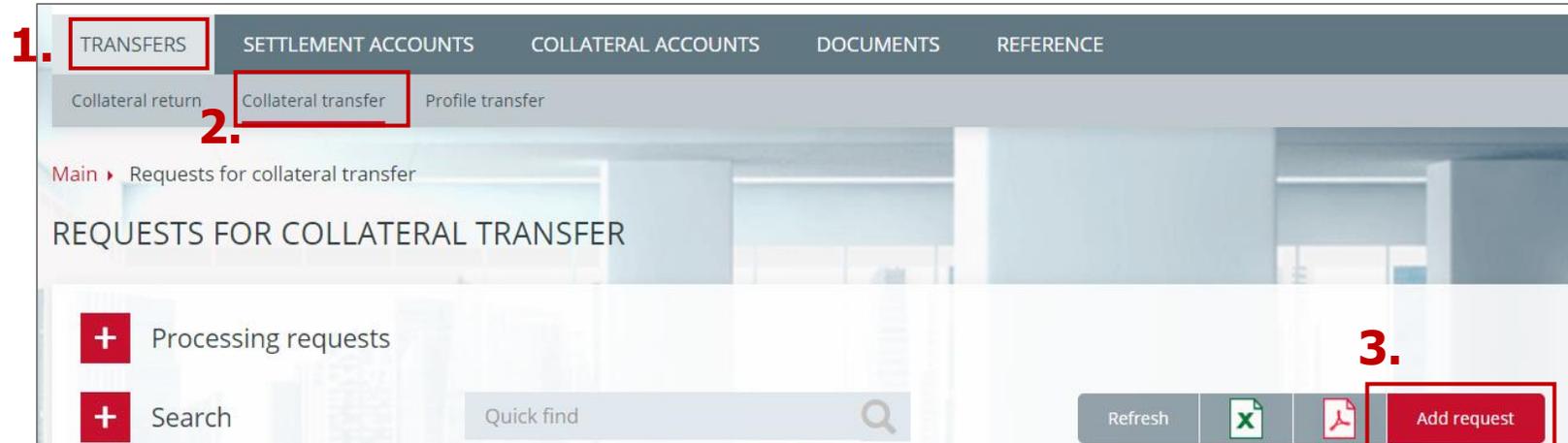
2. Then choose
Collateral transfer

3. Click
Add request

4. In the form of the **Request for collateral transfer** fill in all fields

5. Click
Sign and send

Attention: when making transfers from/to the derivatives market indication of credit/debit clearing registers **is required**.



REQUEST FOR ASSIGNING UNIFIED POOL FEATURE TO THE SETTLEMENT ACCOUNT

1. In the main menu choose **Settlement Accounts**
2. Then choose **Settlement Accounts**
3. In the drop-down list choose **Request for assigning Unified Pool feature to the Settlement Account**

The screenshot shows the MOEX Settlement Accounts interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The 'SETTLEMENT ACCOUNTS' tab is active, and a sub-menu is open showing 'Settlement Accounts' and 'Settlement Accounts of the 2nd and 3rd level'. The main content area displays 'SETTLEMENT ACCOUNT 15234' with a '+ Processing requests' button and a search bar. Below the search bar is a table of settlement accounts. The table has columns: ACCOUNT, BASE ACCOUNT, MARKET, TYPE, KIND, FC BUYING, MORNING TRADES, EARLY SETTLE TIME, and TRADING CLOSE TIME. Two rows are visible: one for account 15231 (Guarantee Fund) and one for account 15235 (Ordinary). A dropdown menu is open from the table, and the option 'REQUEST FOR ASSIGNING UNIFIED POOL FEATURE TO THE SETTLEMENT ACCOUNT' is circled in red.

ACCOUNT	BASE ACCOUNT	MARKET	TYPE	KIND	FC BUYING	MORNING TRADES	EARLY SETTLE TIME	TRADING CLOSE TIME
15231		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Guarantee Fund				
15235		Securities	Settlement account designed	Ordinary				

3. REQUEST FOR ASSIGNING UNIFIED POOL FEATURE TO THE SETTLEMENT ACCOUNT
REQUEST FOR CHANGING SETTLEMENT ACCOUNT REQUEST FOR LIMITING THE START TIME OF CONCLUDING TRADES WITH THE CLEARING CENTER UNDER THE SETTLEMENT CODE
REQUEST FOR THE EXECUTION OF EARLY SETTLEMENT
REQUEST FOR THE EXECUTION OF EARLY EXIT FROM TRADING
REQUEST FOR ADDITIONAL CASH FLOW STATEMENT (CCX99) UNDER THE SETTLEMENT CODE
REQUEST FOR CHANGING SETTLEMENT ACCOUNT SPECIAL FEATURE

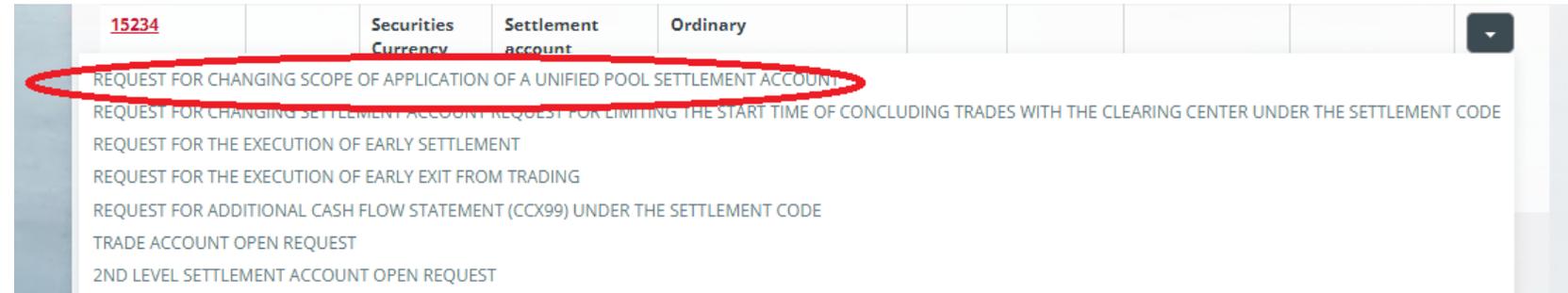
REQUEST FOR ASSIGNING UNIFIED POOL FEATURE TO THE SETTLEMENT ACCOUNT

1. Choose a **Settlement Account** from the list
2. Click **Sign and send**

The screenshot shows a web application interface with a dark grey navigation bar at the top containing the following tabs: TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below the navigation bar, there are two breadcrumb links: Settlement Accounts and Settlement Accounts of the 2nd and 3rd level. The main content area has a breadcrumb trail: Main > Settlement Accounts > Request for assignin Unified Pool feature to the Settlement account. The title of the page is 'REQUEST FOR ASSIGNING UNIFIED POOL FEATURE TO THE SETTLEMENT ACCOUNT'. Below the title, there is a form with a label 'Settlement account' and a text input field containing '15235 Clients/Ordinary'. To the right of the input field, there is a red '1.' indicating the first step. Below the input field, there are two buttons: 'Refusal of operation' and 'Sign and send'. The 'Sign and send' button is highlighted with a red box and a red '2.' indicating the second step.

REQUEST FOR CHANGING SCOPE OF APPLICATION OF A UNIFIED POOL SETTLEMENT ACCOUNT

1. In the main menu choose **Settlement Accounts**
2. Then choose **Settlement Accounts**
3. In the drop-down list choose **Request for changing scope of application of a unified Settlement Account**



1. Choose a **Unified Pool SA** from the list
2. Choose the **Settlement Account** to be attached
3. Fill in the **Market**
4. Click **Sign and send**

A screenshot of the 'Request for changing scope of application of a unified pool settlement account' form. The form has a navigation bar with 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Below the navigation bar, there are tabs for 'Settlement Accounts' and 'Settlement Accounts of the 2nd and 3rd level'. The breadcrumb trail is 'Main > Settlement Accounts > Request for changing scope of application of a Unified Pool Settlement account'. The form title is 'REQUEST FOR CHANGING SCOPE OF APPLICATION OF A UNIFIED POOL SETTLEMENT ACCOUNT'. The form fields are: 'Settlement account' with the value '15234 Proprietary/Ordinary' (labeled 1.); 'Settlement account to be attached' with radio buttons for 'Yes' and 'No' (labeled 2.); 'Settlement account to be attached' with a search box containing 'Choose the SA' (labeled 2.); 'Market' with a dropdown menu set to 'Derivatives' (labeled 3.); and two buttons at the bottom: 'Refusal of operation' and 'Sign and send' (labeled 4.).

REQUEST FOR ASSETS PROFILE TRANSFER (1/2)

1. In the main menu choose **Transfers**
2. Then choose **Profile Transfer**
3. Click **Add Request**

The screenshot displays the MOEX National Clearing Centre interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Below this, a secondary menu shows 'Collateral return', 'Collateral transfer', 'Profile transfer', 'Payments without settlements at NCC', and 'Templates'. The 'Profile transfer' option is selected and highlighted with a red underline and a red '2.' label. The main content area is titled 'REQUESTS FOR ASSETS PROFILE TRANSFER' and contains a '+ Processing requests' button, a '+ Search' button, a 'Quick find' search bar, and 'Refresh' and 'Export' buttons. The 'Add request' button is highlighted with a red box and labeled '3.'. Below the buttons is a table with columns: ID, STATUS, NUMBER, SA, TA, CODE, MESSAGE, and ERROR. The table currently displays 'No data available'.

REQUEST FOR ASSETS PROFILE TRANSFER (1/2)

1. Choose a **Unified Pool SA** from the list
2. Choose a Level 1 **Unified Pool TA**
3. Fill in the Currency Balance* with Market, Asset, CR/SA of the 2nd level and Amount
4. Click **Sign and send**

The screenshot shows a web interface for 'REQUEST FOR ASSETS PROFILE TRANSFER'. The navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Below this, there are sub-tabs: 'Collateral return', 'Collateral transfer', 'Profile transfer' (highlighted), 'Payments without settlements at NCC', and 'Templates'. The breadcrumb trail is 'Main > Requests for assets profile transfer > Request for assets profile transfer'. The main heading is 'REQUEST FOR ASSETS PROFILE TRANSFER'. The form contains the following fields and buttons:

- SA: 15234 Proprietary/Ordinary (with a search icon and a red '1.' annotation)
- TA: S00+00002393 (with a dropdown arrow and a red '2.' annotation)
- Section: 'Balance under currencies'
- Field: 'Choose the market' (dropdown) with an 'Add the asset' button (grouped with a red '3.' annotation)
- Field: 'Choose the asset' (dropdown) with an 'Add amount' button (grouped with a red '3.' annotation)
- Field: 'Select CR/SA of the 2nd level' (with a search icon) and a value field containing '0' (grouped with a red '3.' annotation)
- Buttons: 'Add the market', 'Refusal of operation', and 'Sign and send' (highlighted with a red box and a red '4.' annotation)

*total amount for each of the currencies entered. The balance amount is highlighted in red if the balance is not equal to 0

SETTING FILTERS WHEN SEARCHING

Additional search filters by amount and account for refund for requests were introduced:

- Request for collateral return (Added filters: 'Date from', 'Date to' and it is now possible to see previously sent requests for the collateral return (search depth 1 year)
- Request for collateral transfer
- Request for assets profile transfers
- Request for cancellation of a request for collateral return
- Request for cancellation of a request for collateral transfer
- Request for cancellation of a request for assets profile transfer

REQUESTS FOR COLLATERAL RETURN

+ Processing requests

- Search Quick find Refresh Export Add request

ID Number Date from 20.02.2024 Date to 20.02.2024 Amount

Status All Apply Clear

ID	STATUS	NUMBER	DATE	SA	MARKET	CURRENCY	AMOUNT	EXECUTION METHOD	ACCOUNT FOR RETURN	ERROR CODE	MESSAGE
No data available											

OPENING OF SETTLEMENT ACCOUNTS OF THE 2ND AND 3RD LEVELS

1. In the main menu choose **Settlement Accounts**
2. Then choose the **Settlement Accounts of the 2nd and 3rd levels**
3. Click **Registration**
4. Choose a **2nd level Settlement Account open request**

The screenshot shows the MOEX web interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS 1.', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Below this, a sub-menu shows 'Settlement Accounts' and 'Settlement Accounts of the 2nd and 3rd level 2.'. The main content area is titled 'SETTLEMENT ACCOUNTS OF THE 2ND AND 3RD LEVEL'. It features a 'Processing requests' section with a '+ Search' button and a 'Quick find' search box. On the right, there are 'Refresh', 'Export', and 'Registration 3.' buttons. Below the search area is a table with columns: ACCOUNT, LEVEL, UP LEVEL SA, MARKET, TM IDENTIFIER, and CM IDENTIFIER. The table contains two rows of data. A dropdown menu is open next to the second row, showing options: '2ND LEVEL SETTLEMENT ACCOUNT OPEN : REQUEST' and '3RD LEVEL SETTLEMENT ACCOUNT OPEN REQUEST 4.'.

ACCOUNT	LEVEL	UP LEVEL SA	MARKET	TM IDENTIFIER	CM IDENTIFIER
100000541	2	15236	Currency	MB911320000	MB911320000
100000542	2	15236	Currency	MB911320000	MB911320000

2nd LEVEL SETTLEMENT ACCOUNT OPEN REQUEST

1. Specify a Level 1 **Settlement Account**
2. Specify the **Market**
3. Choose a Level 1 **TA**
4. Identification data is an optional field
5. Click **Sign and send**

The screenshot shows a web application interface for creating a 2nd level settlement account. The navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The breadcrumb trail is 'Main > Settlement Accounts > 2nd level Settlement account Open Request'. The form title is '2ND LEVEL SETTLEMENT ACCOUNT OPEN REQUEST'. The form fields are: 'Settlement account' with value '15236 Proprietary/Ordinary', 'Market' with value 'Currency', 'TA' with value 'S00+4190B546', and 'Identification data' with two empty fields. At the bottom right, there are two buttons: 'Refusal of operation' and 'Sign and send'.

2nd LEVEL SETTLEMENT ACCOUNT CLOSE REQUEST

The request form is accessed by clicking the drop-down button  next to the record of the level 2 SA to be closed. If an active Level 3 SA is opened to a Level 2 SA, it is also closed, and a warning message is displayed: Level 3 Settlement Accounts will be closed. Continue?

3rd LEVEL SETTLEMENT ACCOUNT OPEN REQUEST

1. Open the **Settlement Accounts of the 2nd and 3rd level** window
2. Click the **Registration** button
3. Then choose the **3rd level Settlement Account open request**

The screenshot displays the MOEX Settlement Accounts interface. The top navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. The 'SETTLEMENT ACCOUNTS' tab is active, and the sub-tab 'Settlement Accounts of the 2nd and 3rd level' is selected, indicated by a red '1.' and a red underline. Below the navigation, the breadcrumb 'Main > Settlement Accounts of the 2nd and 3rd level' is visible. The main heading is 'SETTLEMENT ACCOUNTS OF THE 2ND AND 3RD LEVEL'. On the left, there are two expandable sections: 'Processing requests' and 'Search'. On the right, there are buttons for 'Refresh', 'Export', and 'Registration'. The 'Registration' button is highlighted with a red box and a red '2.'. Below the buttons is a table with columns: ACCOUNT, LEVEL, UP LEVEL SA, MARKET, TM IDENTIFIER, and CM IDENTIFIER. The table contains two rows of data. A dropdown menu is open next to the second row, showing two options: '2ND LEVEL SETTLEMENT ACCOUNT CLOSE REQUEST' and '3RD LEVEL SETTLEMENT ACCOUNT OPEN REQUEST'. The second option is highlighted with a red box and a red '3.'.

ACCOUNT	LEVEL	UP LEVEL SA	MARKET	TM IDENTIFIER	CM IDENTIFIER
100000641	2	15236	Currency	MB9113200000	MB9113200000
100000642	2	15236	Currency	MB9113200000	MB9113200000

3rd LEVEL SETTLEMENT ACCOUNT OPEN REQUEST

1. Specify the **Level 2 Settlement Account** and **Identification data**
2. Click **Sign and send**

The screenshot shows a web application interface with a navigation bar at the top containing 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Below the navigation bar, there are two sub-navigators: 'Settlement Accounts' and 'Settlement Accounts of the 2nd and 3rd level'. The breadcrumb trail reads 'Main > Settlement Accounts of the 2nd and 3rd level > 3rd level Settlement account Open Request'. The main heading is '3ND LEVEL SETTLEMENT ACCOUNT OPEN REQUEST'. The form contains a field for 'SA 2-nd level' with the value '100000641' and a search icon. Below it are two fields for 'Identification data'. At the bottom of the form are two buttons: 'Refusal of operation' and 'Sign and send'. A red bracket on the right side of the form groups the 'SA 2-nd level' and 'Identification data' fields with the number '1.', and another red bracket groups the 'Sign and send' button with the number '2.'.

3rd LEVEL SETTLEMENT ACCOUNT CLOSE REQUEST

The request form is accessed by clicking the drop-down button  next to the record of the 3rd level SA to be closed.

CASH FLOW STATEMENT REQUEST (1/3)

1. In main menu choose **Collateral Accounts**
2. Then from the Collateral Accounts list choose the one under which you would like to receive the Statement
3. Choose the date for which the statement shall be made (field «**Statement date**»)
4. Click **Request**
5. Statement will be available on the page **Documents -> Incoming**
6. Click on the document number

TRANSFERS SETTLEMENT ACCOUNTS **COLLATERAL ACCOUNTS** DOCUMENTS REFERENCE

Main > Collateral Accounts > Collateral Account 32401810730000012613 (Debt)

COLLATERAL ACCOUNT 32401810730000012613 DEBT

Name	Debt	Settlement account	12613 Proprietary/Ordinary
Currency	RUB Russian rubles	Trading bank account with the NSD	—
Opening date	03.07.2020		
Closing date	—		
Balance	-17 586 635.24	Previous action date	09.10.2020

Account turnovers

Date from: [] Date to: [] Refresh [X] [PDF]

Statement request

Statement date: 12.10.2020 [] **Request**

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS **DOCUMENTS** REFERENCE

Submitted **Incoming**

Main > Incoming documents

INCOMING DOCUMENTS

+ Search Quick find Refresh [X] [PDF] [Download]

DATE	NUMBER	TYPE	TIME	ORIGINAL DOCUMENT			ERROR	
				NUMBER	DATE	TYPE	CODE	MESSAGE
12.10.2020	22998	Statement	17:53:09	1	12.10.2020	Cash flow request		

CASH FLOW STATEMENT REQUEST (2/3)

*Example of a statement
The statement can be printed and/or
saved in pdf format*

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Submitted Incoming Messages

Main > Incoming documents > Statement

STATEMENT

Print Close the form

CASH FLOW STATEMENT
Date: 27.02.2024

Clearing Member name:
Clearing Member code: 9113200000
Settlement Code: 15236

47405156500000015236
BP, - расчеты по покупке и продаже валюты

Currency Code: CNY

Operation kind	Transaction type	Document number	Correspondent account			Information	Debit	Credit
			RCBIC / BIC	Payer's account / Beneficiary's account	Payer / Beneficiary / Corresponding account			
						Opening Balance		1,000,000.00
						Total	0.00	0.00
						Closing Balance		1,000,000.00

CASH FLOW STATEMENT REQUEST (3/3)

1. To download statements choose in the main menu **Incoming documents**
2. Then use the **Filter** and set the required parameters in the filed **Type** choose **Statement**
3. Click **Apply**
4. When all the required statements are shown in the table Click **Save to disk**
Statements are saved in the **xml** format

Main ▶ Incoming documents **1.**

INCOMING DOCUMENTS

2. Search Quick find Refresh [Excel icon] [PDF icon] [Download icon]

In. document number In. document date, from In. document date, to Type
Out. document number Account

3. Apply Clear

Main ▶ Incoming documents

INCOMING DOCUMENTS **4.**

Search Quick find Refresh [Excel icon] [PDF icon] [Download icon]

In. document number In. document date, from In. document date, to Type
Out. document number Account

Apply Clear

DATE	NUMBER	TYPE	TIME	ORIGINAL DOCUMENT			ERROR	
				NUMBER	DATE	TYPE	CODE	MESSAGE
12.10.2020	<u>22998</u>	Statement	17:53:09	1	12.10.2020	Cash flow request		

REQUEST FOR A TIME LIMIT FOR THE START OF TRANSACTIONS IN THE FOREIGN EXCHANGE MARKET (1/2)

1. In the main menu choose **Calculation Accounts**
2. Then click on the selected **Billing Account** and go to the **Billing Account card**
3. In the Morning trades field click the current status Admitted/Not Accepted
4. The Request to limit the start time of trades form opens
5. In the request form set the status of admission to morning trading: **Admitted/Not admitted**
6. Click **Sign and Send**

1.
2.

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Settlement Accounts Settlement Accounts of the 2nd and 3rd level

Main Settlement Accounts Settlement Account 15236 card

SETTLEMENT ACCOUNT 15236

+ Processing requests

General information Permanent collateral returns Account details

Type Settlement account designed for recording proprietary funds of the Clearing member
Kind Ordinary
Special feature No
Status active

MARKET NAME	IDENTIFIER	MORNING TRADES
Currency	MB9113200000	Not admitted

EARLY SETTLEMENT	—	<input checked="" type="checkbox"/>
STANDING ORDER ON EARLY SETTLEMENT	—	<input checked="" type="checkbox"/>
EARLY TERMINATION OF TRADING	—	<input checked="" type="checkbox"/>
STANDING ORDER FOR EARLY TERMINATION OF TRADING	—	<input checked="" type="checkbox"/>
PROHIBITION OF UNSECURED PURCHASES	No	<input type="checkbox"/>
SINGLE ACCOUNT WITH NSD	No	<input checked="" type="checkbox"/>
SETTLEMENT ACCOUNT USE MODEL	On behalf of	<input type="checkbox"/>
GENERATE ADDITIONAL CASH FLOW STATEMENT (CCX99)	Yes	<input checked="" type="checkbox"/>

3.
4.

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Settlement Accounts Settlement Accounts of the 2nd and 3rd level

Main Settlement Accounts Settlement Account 15236 card Request for changing Settlement account Request for limiting the start time of concluding trades with the Clearing Center under the Settlement Code

REQUEST FOR CHANGING SETTLEMENT ACCOUNT REQUEST FOR LIMITING THE START TIME OF CONCLUDING TRADES WITH THE CLEARING CENTER UNDER THE SETTLEMENT CODE

Settlement account 15236 Proprietary/Ordinary

Market Currency

Morning trades Not admitted

Refusal of operation Sign and send

5.
6.

REQUEST FOR A TIME LIMIT FOR THE START OF (2/2)

1. In the main menu choose **Calculation Accounts**
2. Then tick the box **Enable Group Operations Mode**
3. Tick the required **Billing Accounts**
4. Click **Operations**, choose **Request to limit the start time of trades**
5. In the request form, set the status of admission to morning trading: **Admitted/Not admitted**
6. Click **Sign and Send**

1.

2.

3.

ACCOUNT	BASE ACCOUNT	MARKET	TYPE	KIND	FC BUYING	MORNING TRADES	EARLY SETTLE TIME	TRADING CLOSE TIME
15231		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Guarantee Fund				
15232		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Stress Collateral				
15233		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Concentration Risk Collateral				
15234		Securities Currency	Settlement account designed for recording proprietary funds of the	Ordinary				

4.

Code: SZZY

Morning trades: Admitted

Refusal of operation Sign and send

5.

6.

REQUEST FOR A TIME LIMIT FOR THE START OF TRANSACTIONS IN THE DERIVATIVES MARKET (1/2)

1. From the main menu choose **Directories**, then **Brokerage Firms**
2. Then click on the selected **Brokerage Firm** and go to the **Brokerage Firm Card**
3. In the Morning trades field click the checkmark next to the current status **Admitted/Not accepted**
4. The Request to limit the start time of trades form opens
5. In the request form set the status of admission to morning trading: **Admitted/Not admitted**
6. Click **Sign and Send**

The screenshot displays the MOEX system interface. At the top, there are navigation tabs: TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below these, a breadcrumb trail shows 'Requisites for Collateral return' > 'Firm ID' > 'Sub-accounts clusters', with 'Sub-accounts clusters' highlighted in red. A red '1.' is placed to the left of this breadcrumb.

The main content area shows the 'SUB-ACCOUNTS CLUSTER' card. It has two tabs: 'Basic information' and 'Clearing register sections'. The 'Clearing register sections' tab is active. A table lists details for a cluster with Code 'SZ01'. The 'Morning trades' field is set to 'Admitted' with a red checkmark icon and a red '3.' next to it. A red '2.' is placed to the left of the card title.

Below the card, a form titled 'REQUEST FOR CHANGING SETTLEMENT ACCOUNT REQUEST FOR LIMITING THE START TIME OF CONCLUDING TRADES WITH THE CLEARING CENTER UNDER THE BROKERAGE FIRM' is shown. It has two input fields: 'Code' with the value 'SZ01' and 'Morning trades' with a dropdown menu set to 'Admitted'. A red '5.' is next to the 'Code' field and a red '6.' is next to the 'Morning trades' dropdown. At the bottom of the form are two buttons: 'Refusal of operation' and 'Sign and send'.

REQUEST FOR A TIME LIMIT FOR THE START OF TRANSACTIONS IN THE DERIVATIVES MARKET (2/2)

1. In the main menu choose **Directories**, then **Brokerage Firms**
2. Then tick the box **Enable Group Operations Mode**
3. Tick the required **Brokerage Firm Accounts**
4. Click **Operations**, select **Request to limit the start time of trades**
5. In the request form set the status of admission to morning trading: **Admitted/Not admitted**
6. Click **Sign and Send**

1.

2.

3.

4.

5.

6.

The screenshot displays the MOEX system interface. At the top, there is a navigation bar with tabs: ПЕРЕВОДЫ, РАСЧЁТНЫЕ КОДЫ, СЧЕТА ОБЕСПЕЧЕНИЯ, ДОКУМЕНТЫ, СПРАВОЧНИКИ. Below this, a sub-menu is open with options: Реквизиты для возврата обеспечения, Торговые идентификаторы, and **Брокерские фирмы**. The main content area is titled 'SUB-ACCOUNTS CLUSTERS' and includes a search bar, a checkbox for 'Enable mode of group operations (Select all/Exclude all)', and a table of sub-accounts clusters. The table has columns: SUB-ACCOUNTS CLUSTER CODE, SA, TYPE, NAME OF THE LEGAL ENTITY - CLEARING MEMBER CLIENT, MORNING TRADES, and STATUS. The first row is selected, and the 'MORNING TRADES' column has a green checkmark. Below the table, there is a 'REQUEST FOR CHANGING SETTLEMENT ACCOUNT REQUEST FOR LIMITING THE START TIME OF CONCLUDING TRADES WITH THE CLEARING CENTER UNDER THE BROKERAGE FIRM' form. The form has a 'Code' field with 'SZ01' and a 'Morning trades' dropdown menu with 'Not admitted' selected. At the bottom, there are two buttons: 'Refusal of operation' and 'Sign and send'.

SUB-ACCOUNTS CLUSTER CODE	SA	TYPE	NAME OF THE LEGAL ENTITY - CLEARING MEMBER CLIENT	MORNING TRADES	STATUS
<input checked="" type="checkbox"/> SZ01	77060	Client Sub-accounts cluster	СтаростинаЕ	✓	active
<input type="checkbox"/> SZZY	77060	Client Sub-accounts cluster	СтаростинаЕ - спец БФ	✓	active
<input type="checkbox"/> SZZZ	77059	Proprietary Sub-accounts cluster	СтаростинаЕ - спец БФ	✓	active
<input type="checkbox"/> SZ00	77059	Proprietary Sub-accounts cluster	СтаростинаЕ	✓	active

Shown 1 - 4 of 4

REQUEST FOR CHANGING SETTLEMENT ACCOUNT REQUEST FOR LIMITING THE START TIME OF CONCLUDING TRADES WITH THE CLEARING CENTER UNDER THE BROKERAGE FIRM

Code: SZ01

Morning trades: Not admitted

Refusal of operation Sign and send

REQUEST FOR THE EXECUTION OF EARLY SETTLEMENT

1. Choose a **Settlement Account**
2. In the drop-down list choose **Request for the execution of early settlement**

SETTLEMENT ACCOUNTS 1.

+ Processing requests

+ Search Quick find Refresh Export Operation

Enable mode of group operations (Select all/Exclude all)

ACCOUNT	BASE ACCOUNT	MARKET	TYPE	KIND	FC BUYING	MORNING TRADES	EARLY SETTLE TIME	TRADING CLOSE TIME	
15231		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Guarantee Fund					▼
15232		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Stress Collateral					▼
15233		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Concentration Risk Collateral					▼
15234		Securities Currency	Settlement account	Ordinary					▼

REQUEST FOR CHANGING SCOPE OF APPLICATION OF A UNIFIED POOL SETTLEMENT ACCOUNT

REQUEST FOR CHANGING SETTLEMENT ACCOUNT REQUEST FOR LIMITING THE START TIME OF CONCLUDING TRADES WITH THE CLEARING CENTER UNDER THE SETTLEMENT CODE

REQUEST FOR THE EXECUTION OF EARLY SETTLEMENT

REQUEST FOR THE EXECUTION OF EARLY EXIT FROM TRADING

REQUEST FOR ADDITIONAL CASH FLOW STATEMENT (CCX99) UNDER THE SETTLEMENT CODE

TRADE ACCOUNT OPEN REQUEST

2ND LEVEL SETTLEMENT ACCOUNT OPEN REQUEST

3.

REQUEST FOR THE EXECUTION OF EARLY SETTLEMENT

1. Choose a **Settlement Account**
2. Fill in the Value - calculation time field, choose from the list of values:
 - Early settlement, near future
 - Early settlement, 11:00
 - Early settlement, 12:30
 - Early settlement, 15:15
 - Early settlement, 18:00
4. Click **Sign and send**

The screenshot shows a web application interface with a navigation bar at the top containing 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Below the navigation bar, there is a breadcrumb trail: 'Main > Settlement Accounts > Request for the execution of early settlement'. The main heading is 'REQUEST FOR THE EXECUTION OF EARLY SETTLEMENT'. The form contains two input fields: 'Settlement account' with the value '15234 Proprietary/Ordinary' and 'Value' with the value 'Not defined'. At the bottom of the form, there are two buttons: 'Refusal of operation' and 'Sign and send'. To the right of the form, there are three red numbers: '1.', '2.', and '3.', which correspond to the steps in the list on the left.

STANDING INSTRUCTION TO EXECUTE EARLY EXIT FROM TRADING

1. On the General Information tab of the settlement Account card, in the Standing Instruction for Early Settlements field, click **Select Settlement Account**
2. Fill in the Value - calculation time field, select from the list of values:

- Early settlement, near future
- Early settlement, 11:00
- Early settlement, 12:30
- Early settlement, 15:15
- Early settlement, 18:00

3. Click **Sign and Send**

SETTLEMENT ACCOUNT 15234

+ Processing requests

General information Permanent collateral returns Account details

Type Settlement account designed for recording proprietary funds of the Clearing member
Kind Ordinary
Unified pool Yes
Status active

Expand scope

MARKET NAME	IDENTIFIER	MORNING TRADES
Currency	MB9113200000	Not admitted
Securities	MC9113200000	Not admitted

EARLY SETTLEMENT	—	
STANDING ORDER ON EARLY SETTLEMENT	—	
EARLY TERMINATION OF TRADING	—	
STANDING ORDER FOR EARLY TERMINATION OF TRADING	—	

1.

STANDING INSTRUCTION TO EXECUTE EARLY EXIT FROM TRADING

Settlement account 15234 Proprietary/Ordinary **2.**

Value Not defined **3.**

Refusal of operation **Sign and send** **4.**

CCX99 REPORT

To receive an Additional Cash Flow Statement (CCX99), click the button on the Settlement Account page

SETTLEMENT ACCOUNTS

+ Processing requests

+ Search

Enable mode of group operations (Select all/Exclude all)

ACCOUNT	BASE ACCOUNT	MARKET	TYPE	KIND	FC BUYING	MORNING TRADES	EARLY SETTLE TIME	TRADING CLOSE TIME	
15231		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Guarantee Fund					▼
15232		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Stress Collateral					▼
15233		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Concentration Risk Collateral					▼
15234		Currency Securities	Settlement account	Ordinary					▼

REQUEST FOR CHANGING SCOPE OF APPLICATION OF A UNIFIED POOL SETTLEMENT ACCOUNT
REQUEST FOR CHANGING SETTLEMENT ACCOUNT REQUEST FOR LIMITING THE START TIME OF CONCLUDING TRADES WITH THE CLEARING CENTER UNDER THE SETTLEMENT CODE
REQUEST FOR THE EXECUTION OF EARLY SETTLEMENT
REQUEST FOR THE EXECUTION OF EARLY EXIT FROM TRADING
REQUEST FOR ADDITIONAL CASH FLOW STATEMENT (CCX99) UNDER THE SETTLEMENT CODE
TRADE ACCOUNT OPEN REQUEST
2ND LEVEL SETTLEMENT ACCOUNT OPEN REQUEST

CCX98 REPORT

The current Clearing Terminal functionality allows users to upload a list of all the details for the return, indicating the Settlement Accounts to which they correspond.

To do so choose **Details for the return of collateral** in the Directories menu section, then click **Upload** (xls and pdf formats are available).

It is also possible to download a list of Settlement Accounts (the Settlement Accounts menu section) indicating:

- the type of SA (own, client)
- the type of SA (Guarantee Fund, Stress Collateral, regular)
- and all the features for this SA (for example, the feature of a Single pool, early settlements, etc.)

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Requisites for Collateral return Firm ID Sub-accounts clusters

Main ▶ Requisites for Collateral return

REQUISITES FOR COLLATERAL RETURN

+ Processing requests

+ Search Quick find

Refresh Export Add details

EXPORT TO EXCEL EXPORT TO PDF

ID	STATUS	NAME	CURRENCY	PAYEE'S BANK	ACCOUNT	RECIPIENT
26438		RUB реквизиты для вывода дс	RUB			Банк Инве

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Settlement Accounts Settlement Accounts of the 2nd and 3rd level

Main ▶ Settlement Accounts

SETTLEMENT ACCOUNTS

+ Processing requests

+ Search Quick find

Refresh Export Operation

EXPORT TO EXCEL EXPORT TO PDF

Enable mode of group operations (Select all/Exclude all)

ACCOUNT	BASE ACCOUNT	MARKET	TYPE	KIND	FC BUYING	MORNING TRADES	EARLY SETTLE TIME	TIME
---------	--------------	--------	------	------	-----------	----------------	-------------------	------

Appendix

USER ROLES IN THE CLEARING TERMINAL (1/4)

USER ROLES

PROGRAMME TABS, ACTIONS

	CASH OPERATIONS	CLEARING OPERATIONS	ALL OPERATIONS	TELLER*
Transfers:				
• Requests for collateral transfer	✓	✗	✓	✓
• Collateral return requests	✓	✗	✓	✓
• Requests for assets profile transfer	✗	✓	✓	✓
• Templates	✓	✓	✓	✓
Settlement accounts:				
• Request/standing order on early settlement	✗	✓	✓	✓
• Request for time limit for commencement of trades	✗	✓	✓	✓
• Request/standing order for limiting the start time of concluding trades	✗	✓	✓	✓
• Request for assigning unified pool feature to the Settlement Account	✗	✓	✓	✓
• Request for changing scope of application of a unified pool Settlement Account	✗	✓	✓	✓
• Request for linking a Settlement Account to account for collateral for Russian tax accounting	✗	✓	✓	✓
• Request for removing the Settlement Account link to account for collateral for Russian tax accounting	✗	✓	✓	✓
• List of level 2 trading and clearing accounts	✓	✓	✓	✓
• Details of the accounts for the return of collateral assigned to the Settlement Account	✓	✓	✓	✓
• Request for verification of compliance of the invoice for the return of collateral to the Settlement Account	✓	✗	✓	✓

USER ROLES IN THE CLEARING TERMINAL (2/4)

User roles

Programme tabs, actions

	Cash operations	Clearing operations	All operations	Teller*
• Account details of the return of collateral assigned to the Settlement Account	✓	✓	✓	✓
• Request for cancellation of correspondence of account for return of collateral to Settlement Account	✓	✗	✓	✓
• Standing orders for the return of collateral (and their cancellation)	✓	✗	✓	✓
• Request to change trust fund information	✗	✓	✓	✓
• Request for an additional cash flow statement (CCX99) under the Settlement Account	✗	✓	✓	✓
• 2 nd level Settlement Account open/close request	✗	✓	✓	✓
• 3 rd level Settlement Account open/close request	✗	✓	✓	✓
• Request for inclusion/exclusion of Settlement Account in the Trading Account	✗	✓	✓	✓
• Request for replacing of the Settlement Account in the Trading Account	✗	✓	✓	✓
• Request for replacing the Trading Account in trades	✗	✓	✓	✓
• Request for choosing tariff	✗	✓	✓	✓
• List of Settlement Accounts of 2 nd and 3 rd level	✓	✓	✓	✓
Deposit requests:				
• Request to cancel a depositing request	✓	✗	✓	✓
• Standing orders for depositing (and their cancellation)	✓	✗	✓	✓

USER ROLES IN THE CLEARING TERMINAL (3/4)

User roles

Programme tabs, actions

	Cash operations	Clearing operations	All operations	Teller*
Collateral accounts:				
• Daily account transaction log	✓	✗	✓	✓
• Request for cash flow information	✓	✗	✓	✓
• Account turnover	✓	✓	✓	✓
Documents submitted via the clearing terminal:				
• Request submitted via the clearing terminal	✓	✓	✓	✓
• Signing a request/standing order to set the early settlement mode	✗	✓	✓	✓
• Signing a request/standing order on early exit from trading	✗	✓	✓	✓
• Signing request to change the scope of the unified pool Settlement Account	✗	✓	✓	✓
• Signing a request to establish/cancel the compliance of the invoice for the return of collateral to the Settlement Account	✓	✗	✓	✓
• Signing a standing order for the return of collateral (and cancellation)	✓	✗	✓	✓
• Signing a request/standing order for depositing (and cancellation)	✓	✗	✓	✓
• Signing a cash flow information request	✓	✗	✓	✓
• Signing a request for registration/changing of account for return of collateral details (and cancellation)	✓	✗	✓	✓

USER ROLES IN THE CLEARING TERMINAL (4/4)

User roles

Programme tabs, actions

- Signing a request for the return/transfer of collateral (and canceling it))
- Signing (and canceling) an asset profile transfer request

Cash operations

Clearing operations

All operations

Teller*

✓

✗

✓

✓

✗

✓

✓

✓

Incoming documents:

- Incoming document – advice, statement, stress collateral, interest on deposits
- Incoming document - receipt

According to permission to access the source receipt document type

Messages

✗

✓

✓

✓

Directories – trade IDs:

- Clearing participants identifier
- Tariffs
- Selection of priority TA for fulfilling stress collateral requirements (and cancellation)
- List of trading and clearing accounts (TA data and their parameters)
- Applications for supplemental report on OTC transactions (and cancellation)

✓

✓

✓

✓

✓

✓

✓

✓

✗

✓

✓

✓

✓

✓

✓

✓

✗

✓

✓

✓

Directories – brokerage firms (derivatives market):

- Brokerage firm
- Clearing ledger sections
- Request for time limit for commencement of trades

✓

✓

✓

✓

✓

✓

✓

✓

✗

✓

✓

✓

Directories – requisites for the return of collateral:

- Request for registration/changing of account details for the return of collateral (and cancellation), account details

✓

✗

✓

✓

CONTACTS



Issues regarding:

- connection to the Clearing terminal
- changing roles of existing users
- creating new users
- setting limits under Settlement Accounts etc:

Contact your Personal Manager

tel. +7 (495) 363-32-32



Issues regarding:

- Clearing terminal testing
- general questions regarding Clearing terminal work:

Contact MOEX Technical Support Team

tel. +7 (495) 363-32-32

e-mail: help@moex.com



Issues regarding Clearing terminal development:

Contact Clearing services promotion division

tel. +7 (495) 363-32-32

e-mail: ps@moex.com