

The MOEX logo consists of the letters 'MOEX' in a bold, white, sans-serif font, set against a solid red rectangular background.

NATIONAL
CLEARING
CENTRE

A nighttime photograph of a city street, likely in Hong Kong, featuring a prominent skyscraper with a distinctive geometric, illuminated facade. The scene is filled with light trails from traffic and streetlights, creating a sense of motion and urban energy. A large red graphic overlay is positioned on the right side of the image, containing white text.

**Working in the Clearing
Terminal: CCX99 and
CCX97 reports, collateral
account statements**

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PREPARATION STEPS: CHOOSING PREFERRED LANGUAGE

Step 1: After choosing the Unicode, the user will be transferred to the information on the clearing member page

The screenshot displays the MOEX National Clearing Centre interface. The top left features the MOEX logo and the text 'NATIONAL CLEARING CENTRE' with language options 'eng | ryc'. The top right shows the date '22.02.2024' and user profile information: 'СтаростинаЕ', 'Unicode: 9113200000', 'Старостина Елена Сергеевна', and 'CPSI profile: GOST По умолчанию'. A red circle highlights the 'Unicode: 9113200000' field, and a red arrow points to the 'REFERENCES' menu item in the dark navigation bar. Below the navigation bar, the breadcrumb 'Main > Information on the Clearing member' is visible, followed by the heading 'INFORMATION ON THE CLEARING MEMBER'.

PREPARATION STEPS: CHOOSING PREFERRED LANGUAGE

Step 2: Choose the preferred language

TRANSFERS SETTLEMENT ACCOUNTS COLLATERAL ACCOUNTS DOCUMENTS REFERENCE

Main ▶ Information on the Clearing member

INFORMATION ON THE CLEARING MEMBER

Close the form

Unicode: 9113200000
Name: СтаростинаЕ
Provision of the letter of advice and of extracts via the Clearing terminal: Yes
Provision of the letter of advice and of extracts via the SWIFT: No

Details for sending electronic invoices 

ID IN DIADOC SYSTEM	
EGRUL ADDRESS	Тестовый адрес из ЕГРЮЛ
ITN	0000000000
TAX REGISTRATION REASON CODE	0000000000

Required sizes of Default Fund contributions and Collateral for stress (rub)

MARKET	DEFAULT FUND	COLLATERAL FOR STRESS	COLLATERAL FOR STRESS, CALCULATED VALUE
Currency	0.00	—	—
Securities	0.00	—	—
Derivatives	0.00	—	—

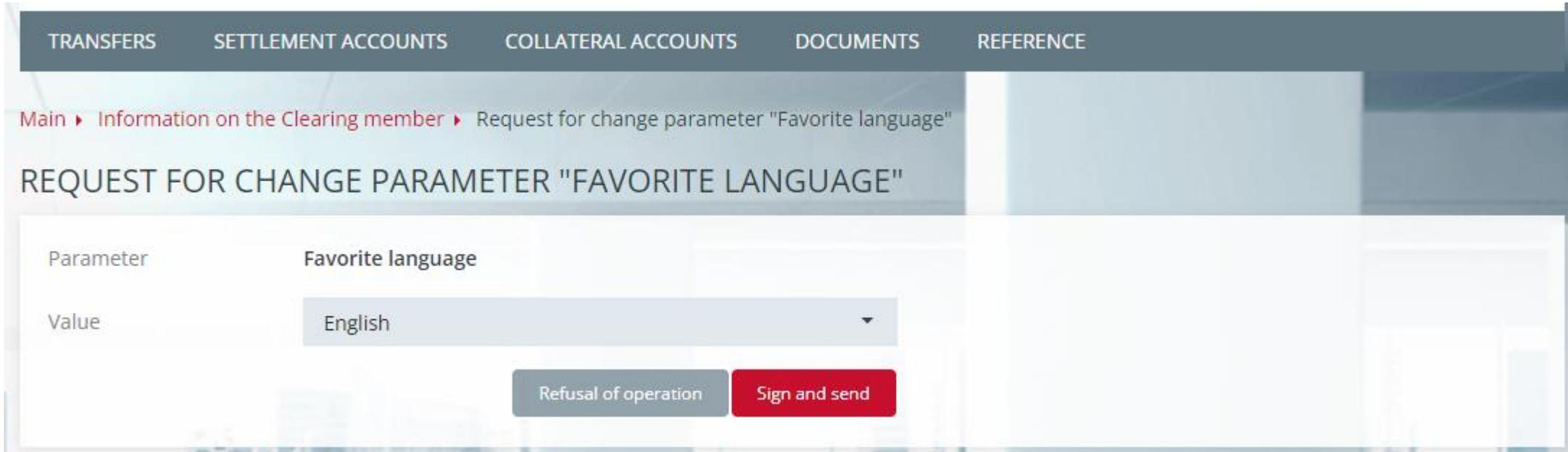
Clearing member parameters

FAVORITE LANGUAGE	English	
UNSECURED LIMIT	—	



PREPARATION STEPS: CHOOSING PREFERRED LANGUAGE

Step 3: Sign and send the request



The screenshot shows a web application interface with a dark blue header containing navigation tabs: TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below the header, a breadcrumb trail reads: Main > Information on the Clearing member > Request for change parameter "Favorite language". The main heading is "REQUEST FOR CHANGE PARAMETER "FAVORITE LANGUAGE"". The form contains a table with two rows: "Parameter" with the value "Favorite language" and "Value" with a dropdown menu currently set to "English". At the bottom of the form are two buttons: "Refusal of operation" (grey) and "Sign and send" (red).

Parameter	Favorite language
Value	English

[Refusal of operation](#) [Sign and send](#)

REQUESTING ADDITIONAL CASH FLOW STATEMENTS (CCX99) UNDER THE SETTLEMENT ACCOUNT (1/5)

Step 1: Enter the clearing Terminal and choose the SA under which the CCX99 report is required

In the example the SA № 15234 is chosen

The screenshot shows the 'SETTLEMENT ACCOUNTS' section of a web application. At the top, there are navigation tabs: TRANSFERS, SETTLEMENT ACCOUNTS (selected), COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below these, there are sub-tabs: Settlement Accounts and Settlement Accounts of the 2nd and 3rd level. The main content area has a breadcrumb 'Main > Settlement Accounts' and the title 'SETTLEMENT ACCOUNTS'. There are two red '+' icons: one for 'Processing requests' and one for 'Search'. A search bar labeled 'Quick find' is present, along with 'Refresh', 'Export', and 'Operation' buttons. A checkbox 'Enable mode of group operations (Select all/Exclude all)' is also visible. Below this is a table with the following columns: ACCOUNT, BASE ACCOUNT, MARKET, TYPE, KIND, FC BUYING, MORNING TRADES, EARLY SETTLE TIME, and TRADING CLOSE TIME. The table contains four rows of data, with the first three rows having a red underline on the account number. The fourth row, with account number 15234, is circled in red.

ACCOUNT	BASE ACCOUNT	MARKET	TYPE	KIND	FC BUYING	MORNING TRADES	EARLY SETTLE TIME	TRADING CLOSE TIME
<u>15231</u>		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Guarantee Fund				
<u>15232</u>		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Stress Collateral				
<u>15233</u>		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Concentration Risk Collateral				
15234		Currency Securities	Settlement account designed for recording	Ordinary				

REQUESTING ADDITIONAL CASH FLOW STATEMENTS (CCX99) UNDER THE SETTLEMENT ACCOUNT (2/5)

Step 2: In the right, across from the SA number choose the option: Request for additional cash flow statement under the Settlement Account

SETTLEMENT ACCOUNTS

Processing requests

Search

Enable mode of group operations (Select all/Exclude all)

Refresh Export Operation

ACCOUNT	BASE ACCOUNT	MARKET	TYPE	KIND	FC BUYING	MORNING TRADES	EARLY SETTLE TIME	TRADING CLOSE TIME	
15231		Securities	Settlement account designed for recording proprietary funds of the Clearing member	Guarantee Fund					
15232		Securities	Settlement account designed for recording	Stress Collateral					

REQUEST FOR CHANGING SCOPE OF APPLICATION OF A UNIFIED POOL SETTLEMENT ACCOUNT
REQUEST FOR CHANGING SETTLEMENT ACCOUNT REQUEST FOR LIMITING THE START TIME OF CONCLUDING TRADES WITH THE CLEARING CENTER UNDER THE SETTLEMENT CODE
REQUEST FOR THE EXECUTION OF EARLY SETTLEMENT
REQUEST FOR THE EXECUTION OF EARLY EXIT FROM TRADING
REQUEST FOR ADDITIONAL CASH FLOW STATEMENT (CCX99) UNDER THE SETTLEMENT CODE
TRADE ACCOUNT OPEN REQUEST
2ND LEVEL SETTLEMENT ACCOUNT OPEN REQUEST

NATIONAL CLEARING CENTRE

REQUESTING ADDITIONAL CASH FLOW STATEMENTS (CCX99) UNDER THE SETTLEMENT ACCOUNT (3/5)

Step 3: Form the request and press the Sign and send button. Value «Yes» - request for receiving the Statement, Value «No» - cancellation of previously formed request

The screenshot displays a web application interface for MOEX. At the top, there is a navigation bar with tabs: TRANSFERS, SETTLEMENT ACCOUNTS (selected), COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below the navigation bar, there is a breadcrumb trail: Settlement Accounts > Settlement Accounts of the 2nd and 3rd level. The main content area shows a breadcrumb trail: Main > Settlement Accounts > Settlement Account 15234 card > Request for additional Cash Flow Statement (CCX99) under the Settlement Code. The title of the page is "REQUEST FOR ADDITIONAL CASH FLOW STATEMENT (CCX99) UNDER THE SETTLEMENT CODE". The form contains two input fields: "Settlement account" with the value "15234 Proprietary/Ordinary" and "Value" with a dropdown menu set to "Yes". At the bottom of the form, there are two buttons: "Refusal of operation" (grey) and "Sign and send" (red).

REQUESTING ADDITIONAL CASH FLOW STATEMENTS (CCX99) UNDER THE SETTLEMENT ACCOUNT (4/5)

Sent requests can be found in Documents -> Submitted

The screenshot displays the 'DOCUMENTS' section of the MOEX National Clearing Centre interface. The navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Under 'DOCUMENTS', there are sub-tabs for 'Submitted', 'Incoming', and 'Messages'. The main content area is titled 'DOCUMENTS SUBMITTED VIA THE CLEARING TERMINAL' and features a search bar, a 'Refresh' button, and an 'Export' dropdown. A table lists the submitted documents with columns for DATE, NUMBER, CODE, DESCRIPTION, STATUS, TIME, ERROR CODE, and MESSAGE. A single entry is shown for a 'CashFlowAddStmntReq' document submitted on 22.02.2024, with a status of 'Success' (green checkmark) and a time of 10:50:16. Below the table, the settlement account details are displayed: 'Settlement account Value' and '15234 Proprietary/Ordinary Yes'.

DATE	NUMBER	CODE	DESCRIPTION	STATUS	TIME	ERROR CODE	MESSAGE
22.02.2024	1	CashFlowAddStmntReq	Request for additional Cash Flow Statement (CCX99) under the Settlement Code	Success	10:50:16		

Settlement account Value: 15234 Proprietary/Ordinary Yes

REQUESTING ADDITIONAL CASH FLOW STATEMENTS (CCX99) UNDER THE SETTLEMENT ACCOUNT (5/5)

The additional CCX99 request will be sent to the Clearing member's user account

MOEX MOSCOW EXCHANGE TEST VERSION

MAIN IDENTIFICATORS **REPORTS** ORDERS CLIENT'S REGISTRATION NEWS

REPORTS

CCX99

Period
22.02.2024 - 23.02.2024

Date

Settle filter Reset filter

- FX clearing reports

- [Cash flow statement Settlement Account 15234 23.02.2024 \(CCX99\)](#)
- [Cash flow statement 23.02.2024 \(CCX99\)](#)

MOEX NATIONAL CLEARING CENTRE

REQUESTING AN ADVICE (CCX97) VIA THE CLEARING TERMINAL

CCX97	Notification of crediting and debiting Collateral account	Provided during the day after each credit or debit of Collateral account
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In the Clearing Terminal the CCX97 reports correspond with the incoming document 'Advice'

Step 1: Enter the Clearing Terminal and choose Request for collateral return

In the example the SA № 15234 is chosen

The screenshot displays the 'REQUEST FOR COLLATERAL RETURN' form in the MOEX Clearing Terminal. The interface includes a top navigation bar with tabs for TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below this, a sub-menu shows 'Collateral return' as the active selection. The breadcrumb trail reads: Main > Requests for collateral return > Request for collateral return. The form fields are as follows:

- Template: Возврат_рубль
- Market: Currency
- Currency: RUB Russian rubles
- Settlement account: 15236 Proprietary/Ordinary
- Execution method: sum
- Account for the collateral return: [Searchable field]
- Russian BIC of the Bank: [Field]
- Bank: [Field]
- Personal account: [Field]
- Client, Personal account: [Field]
- Amount: 100.00
- Create template

At the bottom right, there are two buttons: 'Refusal of operation' and 'Sign and send'.

REQUESTING AN ADVICE (CCX97) VIA THE CLEARING TERMINAL

Sent requests and their status can be found in Documents -> Submitted

The screenshot displays the 'DOCUMENTS' section of the clearing terminal. The navigation bar includes 'TRANSFERS', 'SETTLEMENT ACCOUNTS', 'COLLATERAL ACCOUNTS', 'DOCUMENTS', and 'REFERENCE'. Under 'DOCUMENTS', there are sub-tabs for 'Submitted', 'Incoming', and 'Messages'. The main heading is 'DOCUMENTS SUBMITTED VIA THE CLEARING TERMINAL'. Below this is a search bar with a 'Quick find' input field and 'Refresh' and 'Export' buttons. A table lists submitted documents with columns for DATE, NUMBER, CODE, DESCRIPTION, STATUS, TIME, and ERROR. A single entry is shown for 22.02.2024, with a status of 'In process' and a sum of 100.00 RUB.

DATE	NUMBER	CODE	DESCRIPTION	STATUS	TIME	ERROR
22.02.2024		AssetReturn	Request for collateral return	In process	11:47:19	
	Settlement account	15236	Proprietary/Ordinary			
	Market	Currency		Execution method	sum	
	Currency	RUB	Russian rubles	Amount	100.00	

REQUESTING AN ADVICE (CCX97) VIA THE CLEARING TERMINAL

The Advice will appear in Documents -> Incoming upon completion

The screenshot displays the 'DOCUMENTS' section of the clearing terminal, specifically the 'Incoming' tab. The breadcrumb trail is 'Main > Incoming documents'. The main heading is 'INCOMING DOCUMENTS'. Below this is a search bar with a '+ Search' button and a 'Quick find' input field. To the right are 'Refresh' and 'Export' buttons. The data is presented in a table with columns for document details and error information.

DATE	NUMBER	TYPE	TIME	ORIGINAL DOCUMENT			ERROR	
				NUMBER	DATE	TYPE	CODE	MESSAGE
22.02.2024	85625	Advice	12:27:15					

REQUESTING AN ADVICE (CCX97) VIA THE CLEARING TERMINAL

TRANSFERS
SETTLEMENT ACCOUNTS
COLLATERAL ACCOUNTS
DOCUMENTS
REFERENCE

Submitted
Incoming
Messages

Main ▶ Incoming documents ▶ Advice

ADVICE

Print
Close the form

NOTICE № 856260 OF COLLATERAL ACCOUNT DEBIT AND CREDIT

Clearing Member name:
Clearing Member code: 9113200000

22.02.2024
posted

22.02.2024
withdrawn

0401060

PAY ORDER № 4

22.02.2024
Date

Kind of payment

Amount expressed in words | One hundred rub. 00 kop.

Taxpayer identification number 7750004023 НКО НКЦ (АО)	Tax registration reason code 770301001	Amount	100.00
Payer НКО АО НРД		Account number	30414810000000000911
Payer's bank НКО АО НРД		RCBIC	044525505
		Account number	30105810345250000505
		RCBIC	044525505
Payee's bank		Account number	30105810345250000505
Taxpayer identification number 2801023444 Банк Инвест	Tax registration reason code 291011001	Account number	40702810838110016811

REQUESTING A COLLATERAL ACCOUNT STATEMENT

Step 1: Open the page with the list of Collateral Accounts and choose the account under which the Statement is needed

The screenshot displays the 'COLLATERAL ACCOUNTS' section of a web application. At the top, there are navigation tabs: TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS (selected), DOCUMENTS, and REFERENCE. Below the tabs, the breadcrumb 'Main > Collateral Accounts' is visible. The main heading is 'COLLATERAL ACCOUNTS'. There are two red '+' icons: one for 'Processing requests' and one for 'Search'. A 'Quick find' search bar is present, along with 'Refresh' and 'Export' buttons. The main content is a table with columns: ACCOUNT NUMBER, CURRENCY, ACCOUNT NAME, SETTLEMENT ACCOUNT, and DATE OF (with sub-columns for OPENING, CLOSING, and BALANCE). A red box highlights the row with account number 30420810100000015236, which has a RUB currency, 'Cover' account name, and a balance of 999 490.00. A red arrow points to the account number in this row. At the bottom, it says 'Shown 61 - 70 of 116' and a pagination control shows page 7 of 12.

ACCOUNT NUMBER	CURRENCY	ACCOUNT NAME	SETTLEMENT ACCOUNT	DATE OF		
				OPENING	CLOSING	BALANCE
47405980200000015236	UAH	Cover	15236 Proprietary/Ordinary	22.05.2023		—
30420978031000015234	EUR	Cover	15234 Proprietary/Ordinary	22.05.2023	16.08.2023	—
47405156500000015236	CNY	Cover	15236 Proprietary/Ordinary	22.05.2023		1 000 000.00
30420810100000015236	RUB	Cover	15236 Proprietary/Ordinary	22.05.2023		999 490.00
47405840000000015237	USD	Cover	15237 Clients/Ordinary	22.05.2023		—
474059500000015237	UAH	Cover	15237 Clients/Ordinary	22.05.2023		—
304208400000015234	USD	Cover	15234 Proprietary/Ordinary	22.05.2023	16.08.2023	—
30420810791000015234	RUB	Cover	15234 Proprietary/Ordinary	16.08.2023		—
30420840311000077059	USD	Cover	77059 Proprietary/Ordinary	23.05.2023		—
30420810831000015233	RUB	Cover	15233 Proprietary/Concentration Risk Collateral	22.05.2023		—

REQUESTING A COLLATERAL ACCOUNT STATEMENT

Step 2: Open the cover of the chosen Collateral Account and form the request

The screenshot displays the MOEX Collateral Accounts interface. At the top, there are navigation tabs: TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS (selected), DOCUMENTS, and REFERENCE. Below the tabs, the breadcrumb path is 'Main > Collateral Accounts > Collateral Account 30420810100000015236 (Cover)'. The main heading is 'COLLATERAL ACCOUNT 30420810100000015236 COVER'.

The account details are as follows:

Name	Cover	Settlement account	15236 Proprietary/Ordinary
Currency	RUB Russian rubles	Trading bank account with the NSD	—
Opening date	22.05.2023	Previous action date	11.01.2024
Closing date	—		
Balance	999 490.00		

Below the details, there are two sections: 'Account turnovers' and 'Statement request'.

Account turnovers: Includes 'Date from' and 'Date to' input fields with calendar icons, a 'Refresh' button, and icons for Excel and PDF export.

Statement request: Includes a 'Statement date' input field with a calendar icon (set to 22.02.2024) and a red 'Request' button.

The 'Account turnovers' table is as follows:

DATE	BALANCE		TURNOVER		DATE OF PREVIOUS ACTION
	INCOMING	OUTGOING	DEBIT	CREDIT	
22.02.2024	999 790.00	999 490.00		300.00	11.01.2024
11.01.2024	999 800.00	999 790.00		10.00	09.01.2024
09.01.2024	999 900.00	999 800.00		100.00	06.12.2023
06.12.2023	1 000 000.00	999 900.00		100.00	03.07.2023
03.07.2023	0.00	1 000 000.00		0.00	

At the bottom left, it says 'Shown 1 - 5 of 5'.

REQUESTING A COLLATERAL ACCOUNT STATEMENT

Sent requests can be found in Documents -> Submitted

The screenshot displays the MOEX National Clearing Centre interface. At the top, there is a navigation bar with tabs for TRANSFERS, SETTLEMENT ACCOUNTS, COLLATERAL ACCOUNTS, DOCUMENTS, and REFERENCE. Below this, there are sub-tabs for Submitted, Incoming, and Messages. The main content area is titled 'DOCUMENTS SUBMITTED VIA THE CLEARING TERMINAL'. It features a search bar with a 'Quick find' input field and a magnifying glass icon. To the right of the search bar are 'Refresh' and 'Export' buttons. Below the search bar is a table with columns for DATE, NUMBER, CODE, DESCRIPTION, STATUS, TIME, CODE, and MESSAGE. A red box highlights the first row of the table, which contains the following data: DATE: 22.02.2024, NUMBER: 6, CODE: AccountStatementReq, DESCRIPTION: Request for the cash flow statement, STATUS: a clock icon, TIME: 14:22:41, CODE: Account, and MESSAGE: 30420810100000015236. There is also a small icon in the MESSAGE column.

DATE	NUMBER	CODE	DESCRIPTION	STATUS	TIME	CODE	MESSAGE
22.02.2024	6	AccountStatementReq	Request for the cash flow statement	🕒	14:22:41	Account	30420810100000015236

REQUESTING A COLLATERAL ACCOUNT STATEMENT

The statement will appear in Documents -> Incoming upon completion

Main ▶ Incoming documents

INCOMING DOCUMENTS

+ Search Quick find Refresh Export

					ORIGINAL DOCUMENT		ERROR	
DATE	NUMBER	TYPE	TIME	NUMBER	DATE	TYPE	CODE	MESSAGE
22.02.2024	856261	Statement	14:22:45	6	22.02.2024	Request for the cash flow statement		
22.02.2024	856260	Advice	13:14:25					

REQUESTING A COLLATERAL ACCOUNT STATEMENT

CASH FLOW STATEMENT Date: 22.02.2024

Clearing Member name:
Clearing Member code: 9113200000
Settlement Code: 15236

30420810100000015236
BP, - индивидуальное обеспечение

Currency Code: RUB

Operation kind	Transaction type	Document number	Correspondent account			Information	Debit	Credit
			RCBIC / BIC	Payer's account / Beneficiary's account	Payer / Beneficiary / Corresponding account			
						Opening Balance		999,790.00
812	201	27185392573	044525505	40702810838110016811	Банк Инвест	Вывод дс Возврат денежных средств со сч. инд. кл. обеспеч. в соотв. с Прав. кл. РК 15236. НДС не облагается.	100.00	
812	201	27185392572	044525505	40702810838110016811	Банк Инвест	Вывод дс Возврат денежных средств со сч. инд. кл. обеспеч. в соотв. с Прав. кл. РК 15236. НДС не облагается.	100.00	
812	201	27185392546	044525505	40702810838110016811	Банк Инвест	Вывод дс Возврат денежных средств со сч. инд. кл. обеспеч. в соотв. с Прав. кл. РК 15236. НДС не облагается.	100.00	
						Total	300.00	0.00
						Closing		999,490.00